

Petar Rados (KMI, Nagoya University)  
on behalf of the Belle II Collaboration

KMI2025  
Nagoya, Japan  
6 March 2025



Kobayashi-Maskawa Institute  
for the Origin of Particles and the Universe



名古屋大学  
NAGOYA UNIVERSITY



Sakata-Hirata Hall

Nagoya University,

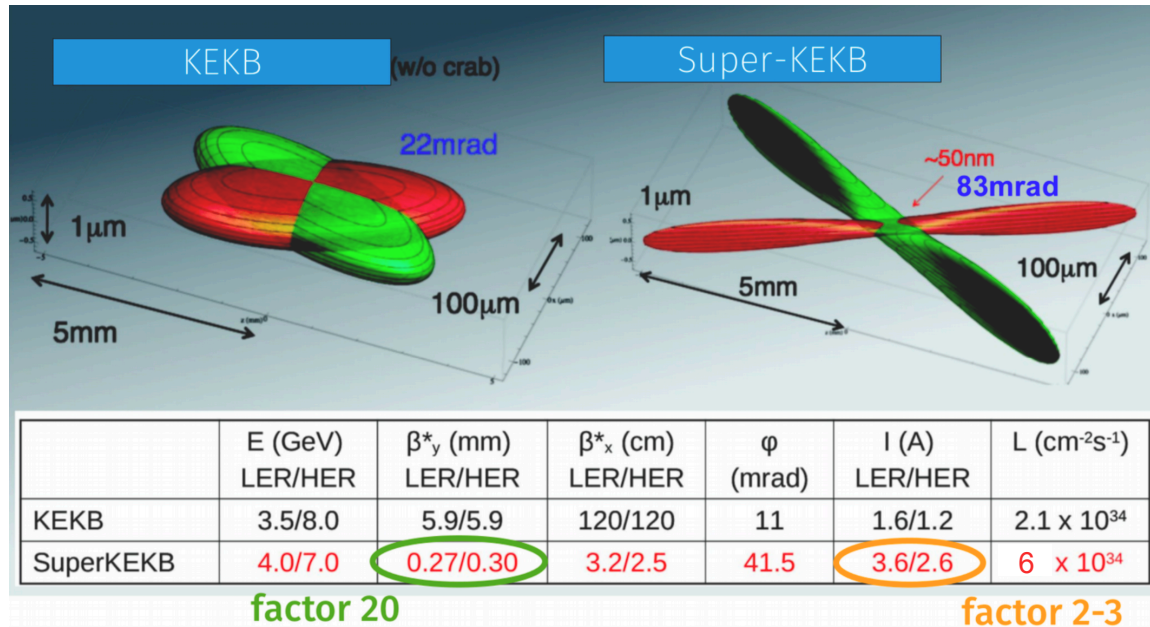
**KMI2025**

Quest for  
the Origin of Particles  
and the Universe

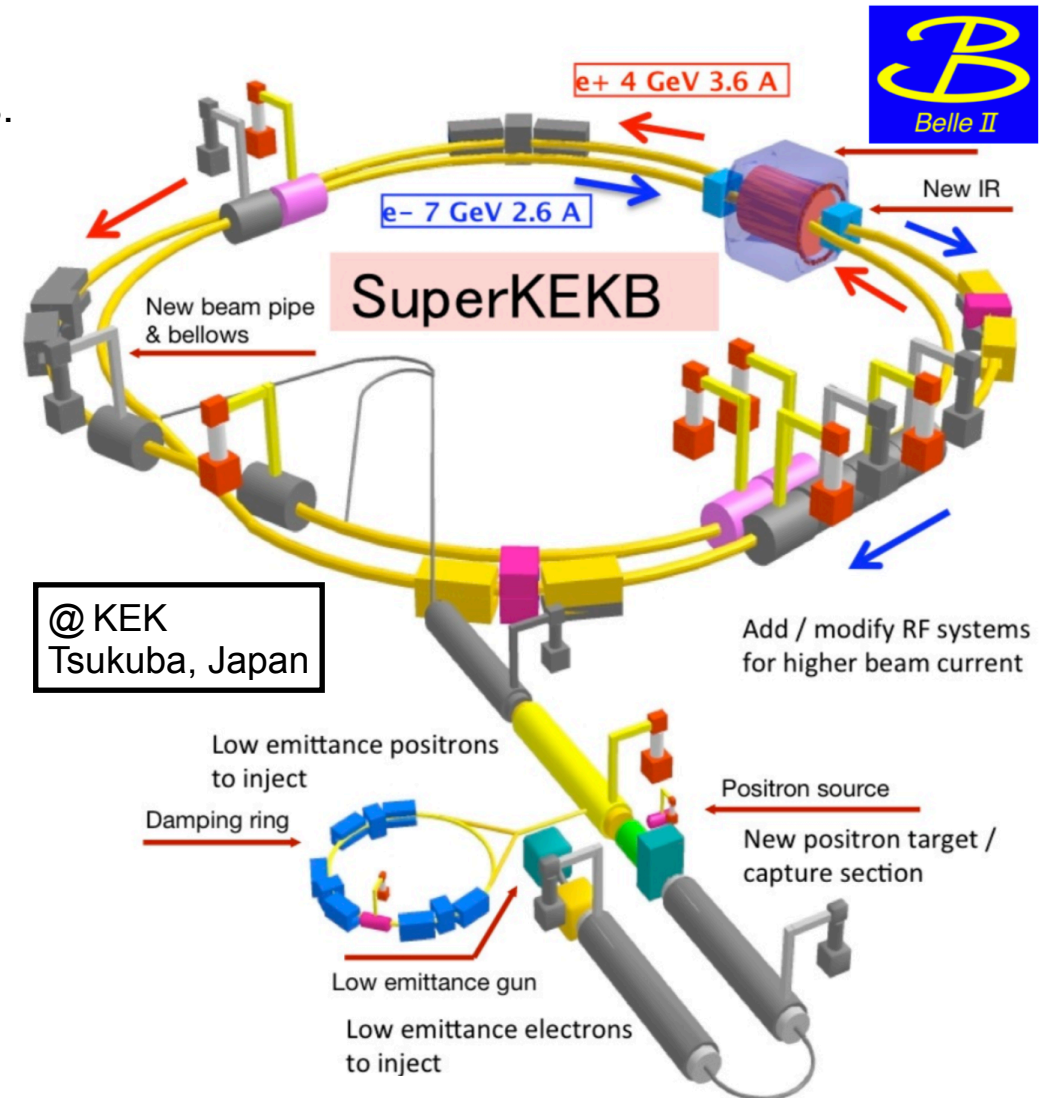
The 6<sup>th</sup> KMI International Symposium

# SuperKEKB Accelerator

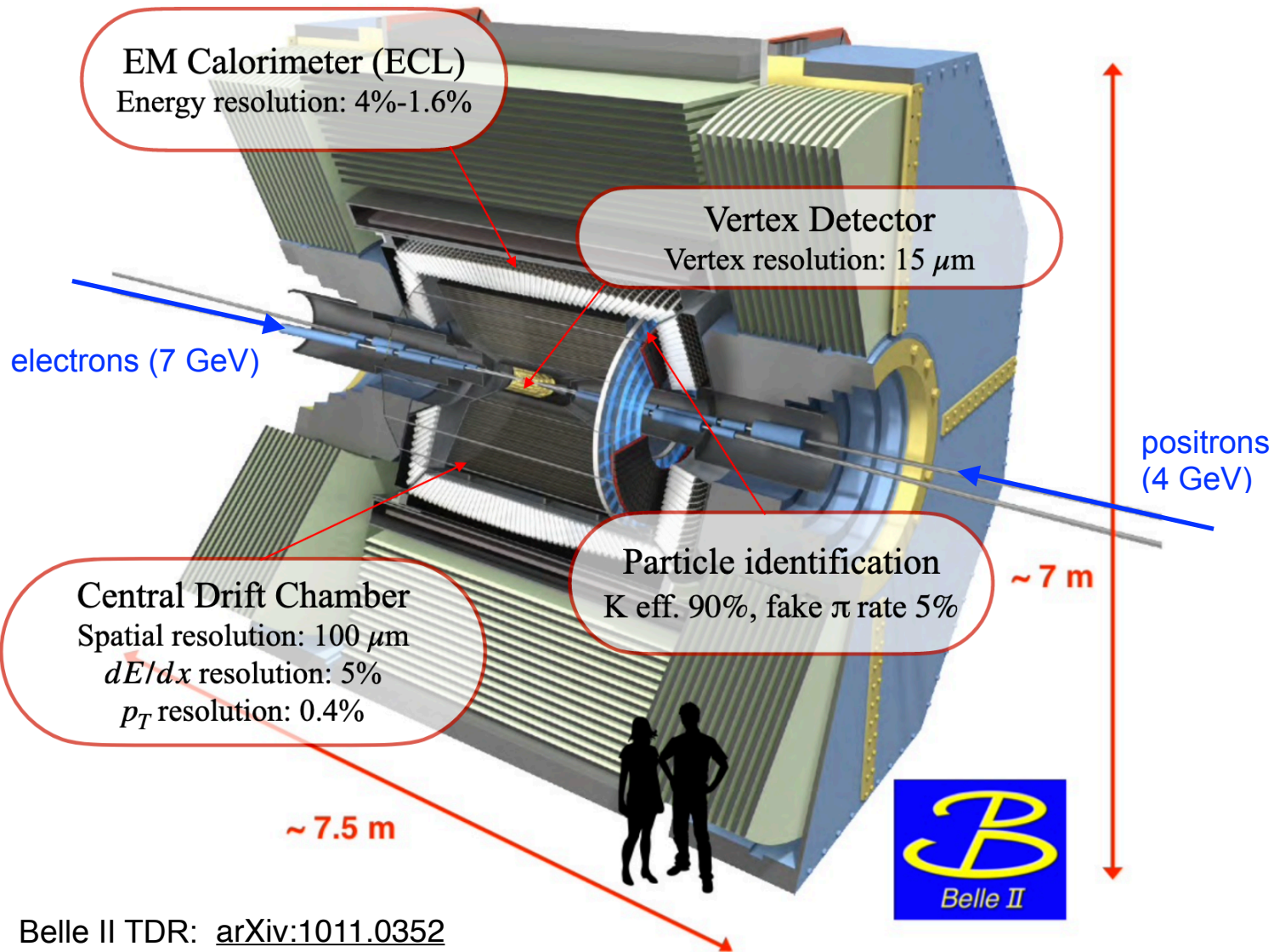
- Next generation B-factory:  $e^+e^- \rightarrow Y(4S) \rightarrow B\bar{B}$ ,  $\sqrt{s} \approx 10.58$  GeV  
+ rich program of tau, dark sector and other low-multiplicity physics.



- 50 nm vertical beam spot size → “nano beam”.
- Unprecedented design luminosity of  $\sim 6 \times 10^{35}$  cm<sup>-2</sup>s<sup>-1</sup> (30x KEKB)

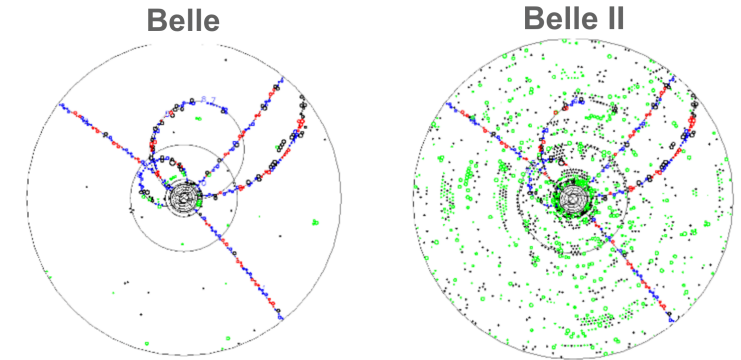


# Belle II Detector



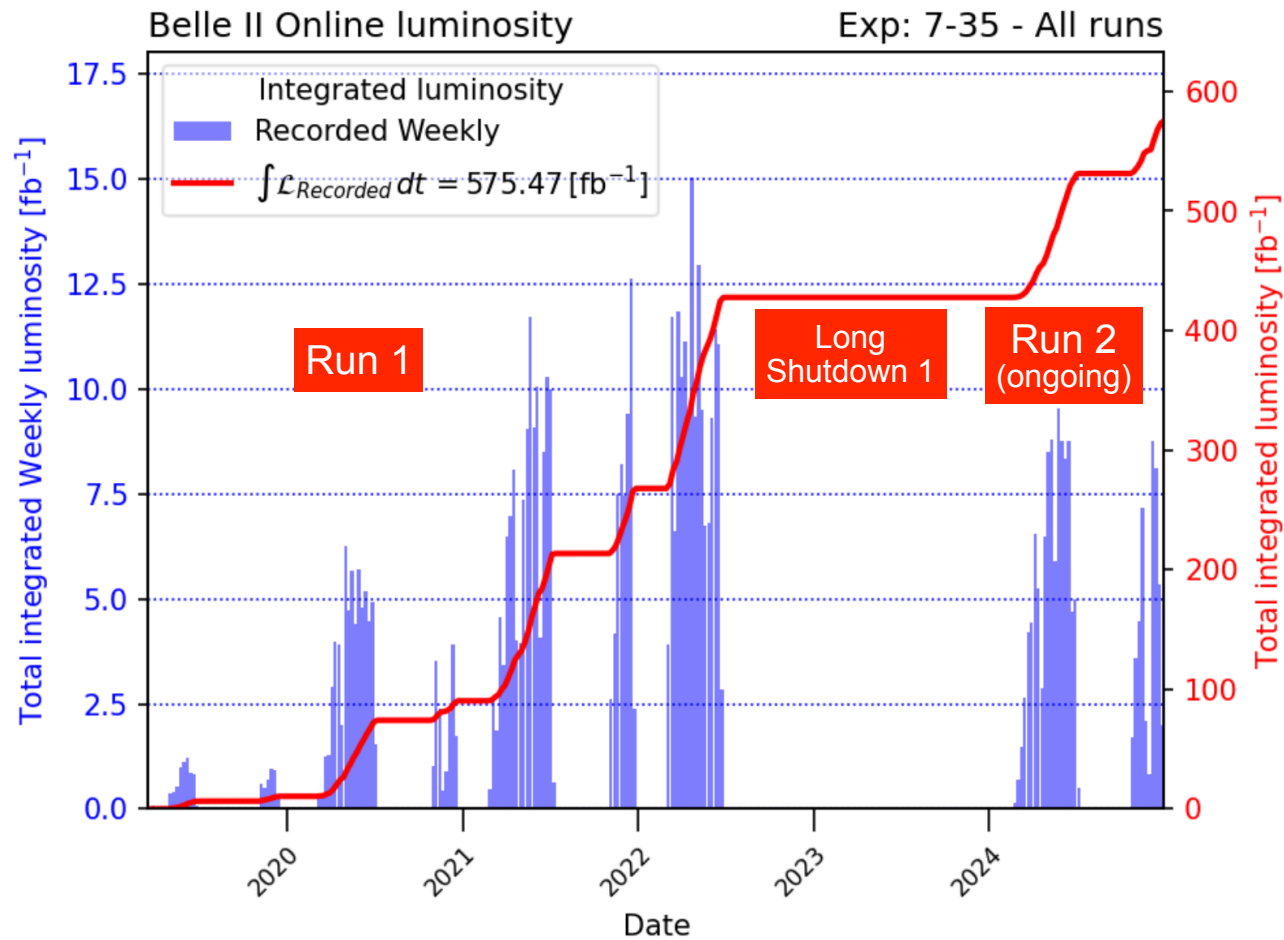
Belle II TDR: [arXiv:1011.0352](https://arxiv.org/abs/1011.0352)

- Consequently Belle II has higher beam backgrounds and event rates.



- Major upgrade of the Belle detector:
  - 2-layer Pixel Detector (**PXD**) with first layer at 1.4cm, significantly improves vertexing.
  - 4-layer Silicon Vertex Detector (**SVD**) with larger acceptance.
  - Central Drift Chamber (**CDC**) with larger outer radius.
  - Improved particle ID: **TOP** + new **ARICH** (K/ $\pi$  separation).
  - Improved **trigger**, and faster electronics in general.

# Performance to date



## Peak instantaneous luminosity

$$5.1 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$$

(new world record in 2024)

## Integrated luminosity: $573 \text{ fb}^{-1}$

$495 \text{ fb}^{-1}$  recorded at  $\Upsilon(4S)$

$59 \text{ fb}^{-1}$  at 60 MeV below  $\Upsilon(4S)$ ,  
for background studies

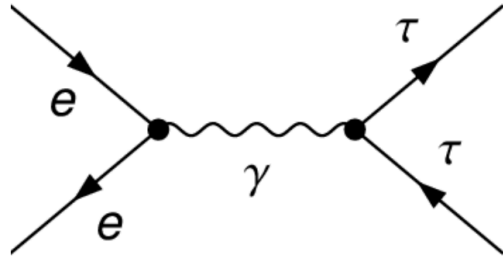
$19 \text{ fb}^{-1}$  at 10.75 GeV,  
for exotic hadron searches

Aiming to collect  $50 \text{ ab}^{-1}$  over the  
next  $\sim 10$  years (x50 Belle)



# Belle II as a $\tau$ factory

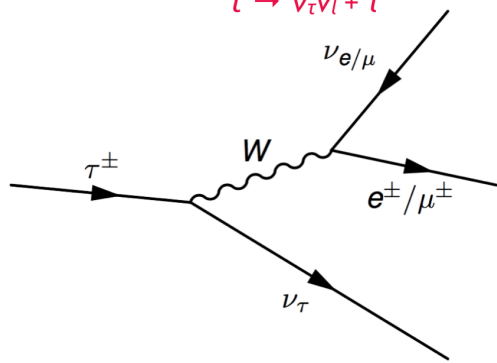
- The  $\tau$  is the charged lepton of the third generation. They are produced in pairs.



- Only lepton massive enough to decay into hadrons (>200 hadronic channels).

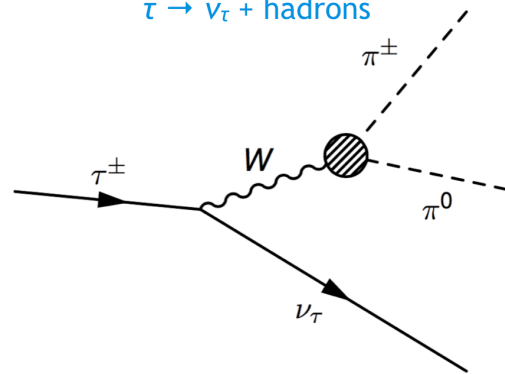
leptonic decays (BF~35%)

$$\tau \rightarrow \nu_\tau \nu_l + l$$



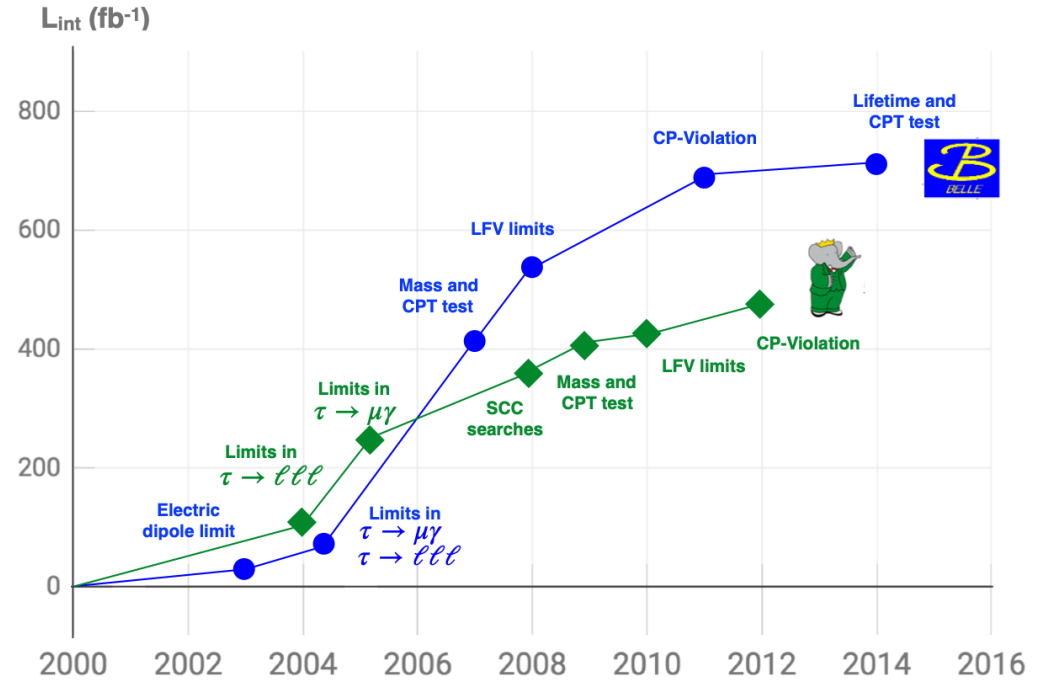
hadronic decays (BF~65%)

$$\tau \rightarrow \nu_\tau + \text{hadrons}$$



- B-factories are also  $\tau$ -factories**

$$\sigma(e^+e^- \rightarrow Y(4s)) = 1.05 \text{ nb} \quad \text{vs.} \quad \sigma(e^+e^- \rightarrow \tau^+\tau^-) = 0.92 \text{ nb}$$

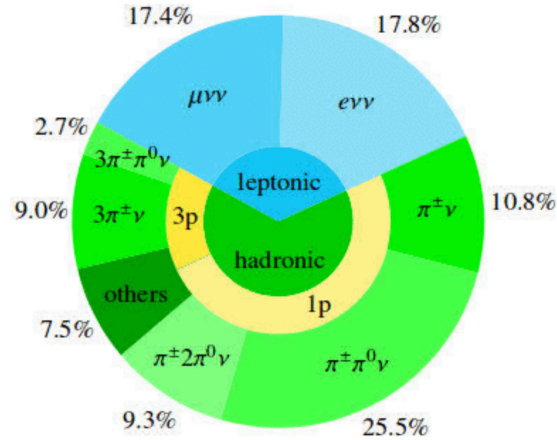


- Over its lifetime Belle II will deliver an enormous sample of  $\sim 4.6 \times 10^{10}$   $\tau$ -pair events

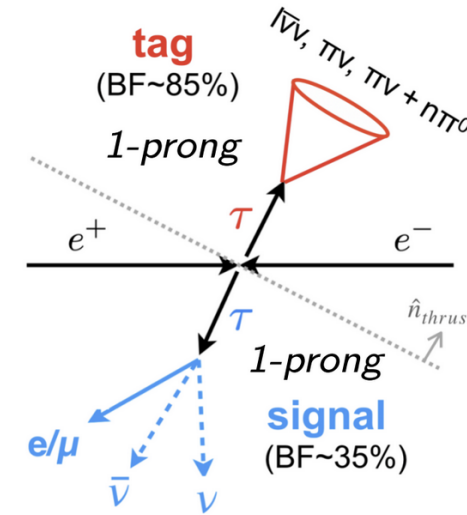
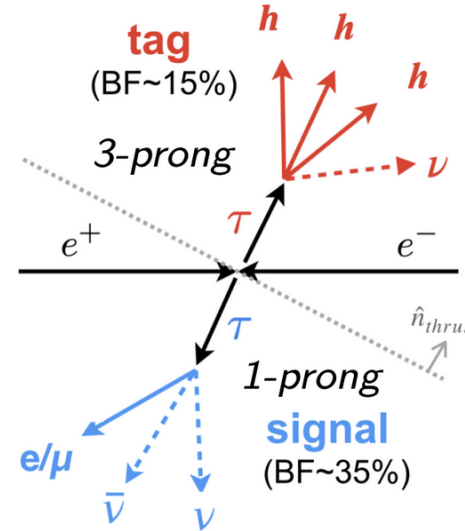
**A unique environment to study  $\tau$  physics with high precision!**

# Tau Physics at Belle II

- Each  $\tau$  will subsequently decay into final states containing mostly one (**1-prong**) or three (**3-prong**) charged particles.



- We mainly study **3x1 prong** and **1x1 prong** topologies.



Split event into two hemispheres across thrust axis

$$\vec{T} = \max \left( \sum_i \frac{\vec{p}_i \cdot \hat{T}}{|p_i|} \right)$$

- Tau physics program follows **two strategies**:

## (1) Precision SM measurements.

Indirect hints of NP in SM deviations.

- ◆  $\tau$  properties (mass, lifetime), couplings (e- $\mu$  universality,  $V_{us}$ ), CP violation, etc
- ◆ Often sub-% measurements: systematics are usually the dominant error source.

## (2) Search for rare or forbidden processes.

Direct observation would be unambiguous sign of NP.

- ◆ Mostly **Lepton Flavor Violating (LFV)**  $\tau$  decays. Often very little to no background.
- ◆ Need to be smart to beat limits from Belle with  $\frac{1}{2}$  data.
  - ▶ Requires high reconstruction efficiencies
  - ▶ Machine learning techniques, inclusive tagging

# Tau Mass

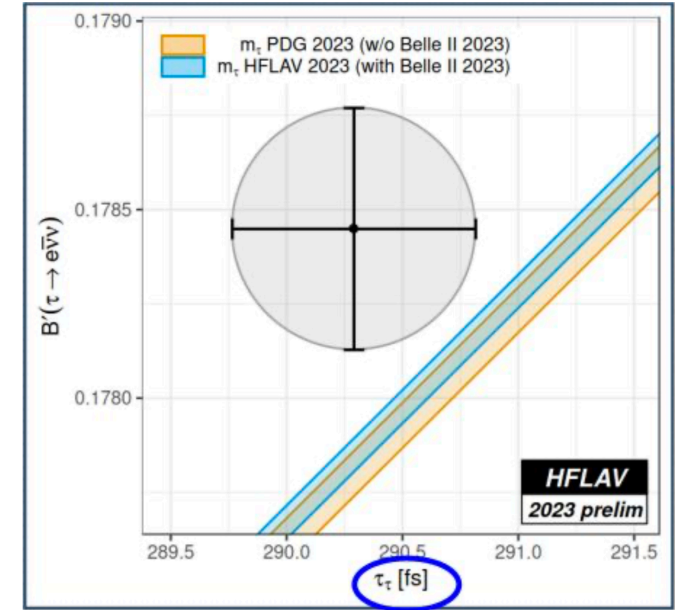
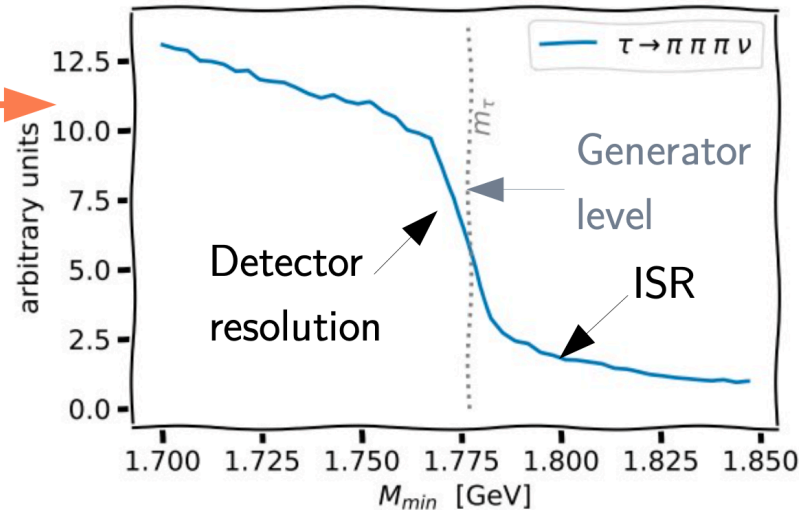
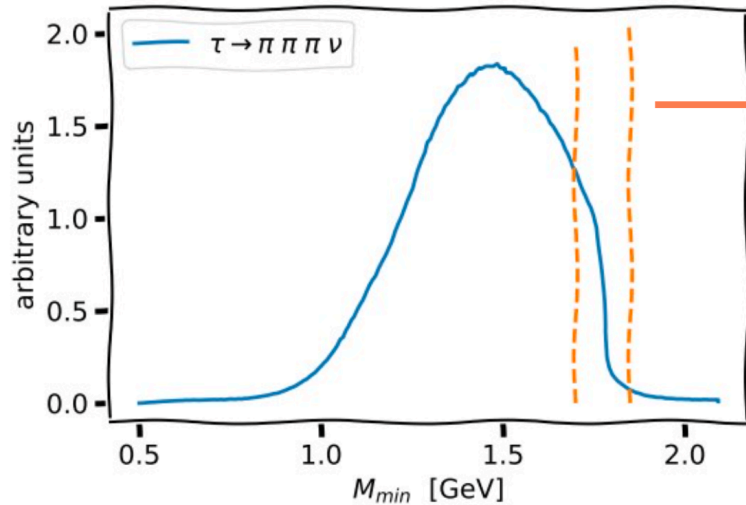
- Lepton masses (and lifetimes) are fundamental parameters of the SM

For taus the uncertainties are by far the largest!

	electron	muon	tau
$\delta m/m$	$6 \times 10^{-9}$	$2 \times 10^{-8}$	$7 \times 10^{-5} \dagger$
$\delta \tau/\tau$	n/a	$1 \times 10^{-6}$	$2 \times 10^{-3}$

$\dagger$  prior to Belle II

- Reconstruct **3x1 prong topology** with inclusive tag and  $\tau_{signal} \rightarrow 3\pi\nu$
- Access  $m_\tau$  via pseudo-mass  $M_{min}$ :  $\sqrt{M_{3\pi}^2 + 2(\sqrt{s}/2 - E_{3\pi}^*)(E_{3\pi}^* - P_{3\pi}^*)} \leq M_\tau$
- Fit to end point distribution with an empirical function within **1.7-1.85 GeV**



$$B_{\tau\ell}^{\text{SM}} \propto B_{\mu e} \frac{\tau_\tau}{\tau_\mu} \frac{m_\tau^5}{m_\mu^5}$$

- Sharp threshold behaviour near  $m_\tau$
- Smeared edge due to **detector resolution effects** and larger **tails because of ISR**

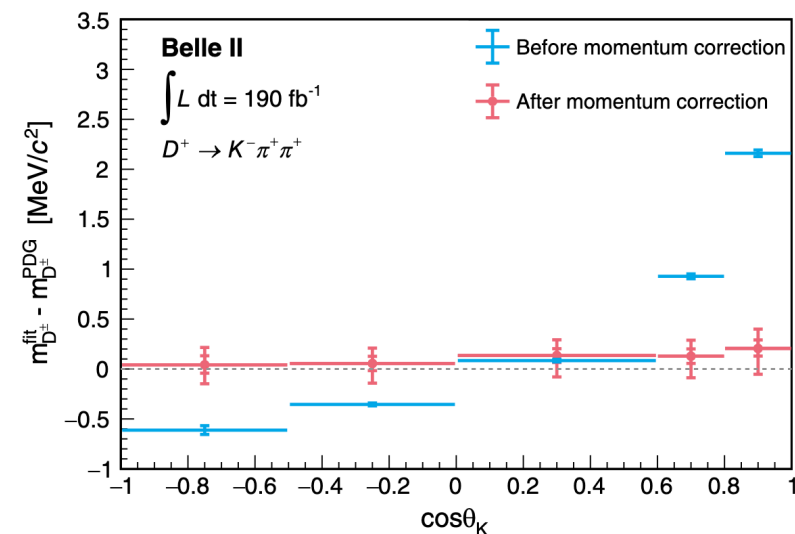
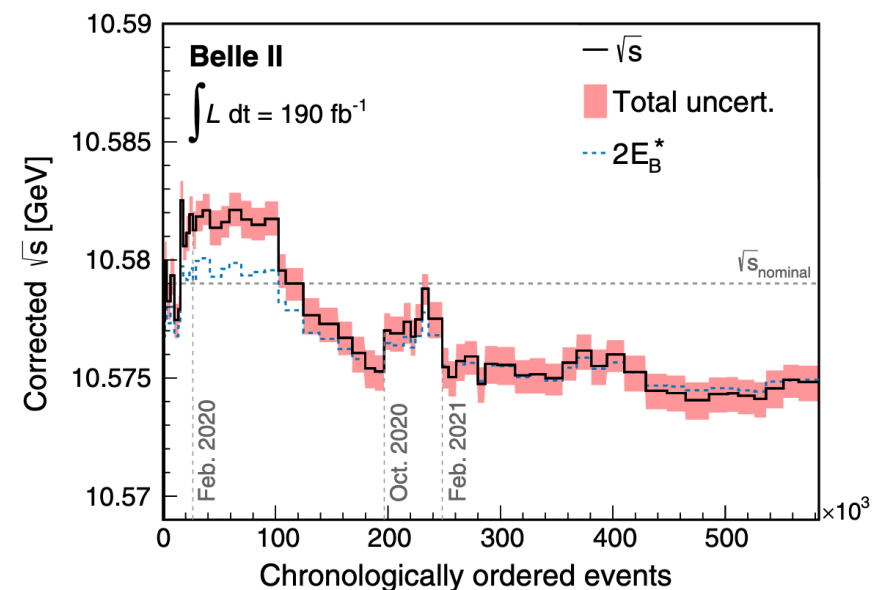
# Tau Mass: precision challenge

- Excellent control of systematic uncertainties thanks to precise understanding of beam energies and tracking:  $M_{\min} = \sqrt{M_{3\pi}^2 + 2(\sqrt{s}/2 - E_{3\pi}^*)(E_{3\pi}^* - P_{3\pi}^*)} \leq M_{\tau}$

Source	Uncertainty [MeV/c <sup>2</sup> ]
<b>Knowledge of the colliding beams:</b>	
Beam energy correction	0.07
Boost vector	≤ 0.01
<b>Reconstruction of charged particles:</b>	
Charged particle momentum correction	0.06
Detector misalignment	0.03
<b>Fitting procedure:</b>	
Estimator bias	0.03
Choice of the fit function	0.02
Mass dependence of the bias	≤ 0.01
<b>Imperfections of the simulation:</b>	
Detector material budget	0.03
Modeling of ISR and FSR	0.02
Momentum resolution	≤ 0.01
Neutral particle reconstruction efficiency	≤ 0.01
Tracking efficiency correction	≤ 0.01
Trigger efficiency	≤ 0.01
Background processes	≤ 0.01
<b>Total</b>	<b>0.11</b>

**Beam energy calibration**  
with B-meson hadronic decays method and Y(4S) lineshape measurement to get  $\sqrt{s}$

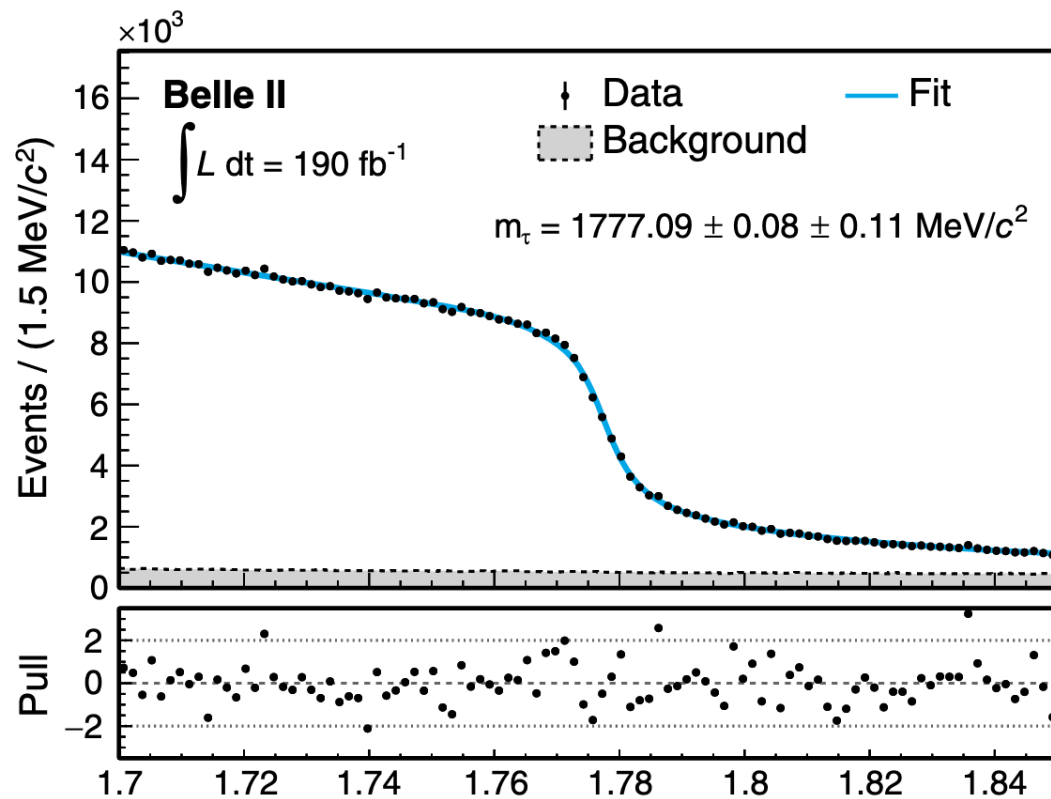
**Momentum scale factor**  
cures the bias due to imperfect B-field: extract corrections dependent on  $\cos\theta_{\text{track}}$  by comparing  $D^0 \rightarrow K\pi$  mass peak w.r.t PDG mass.



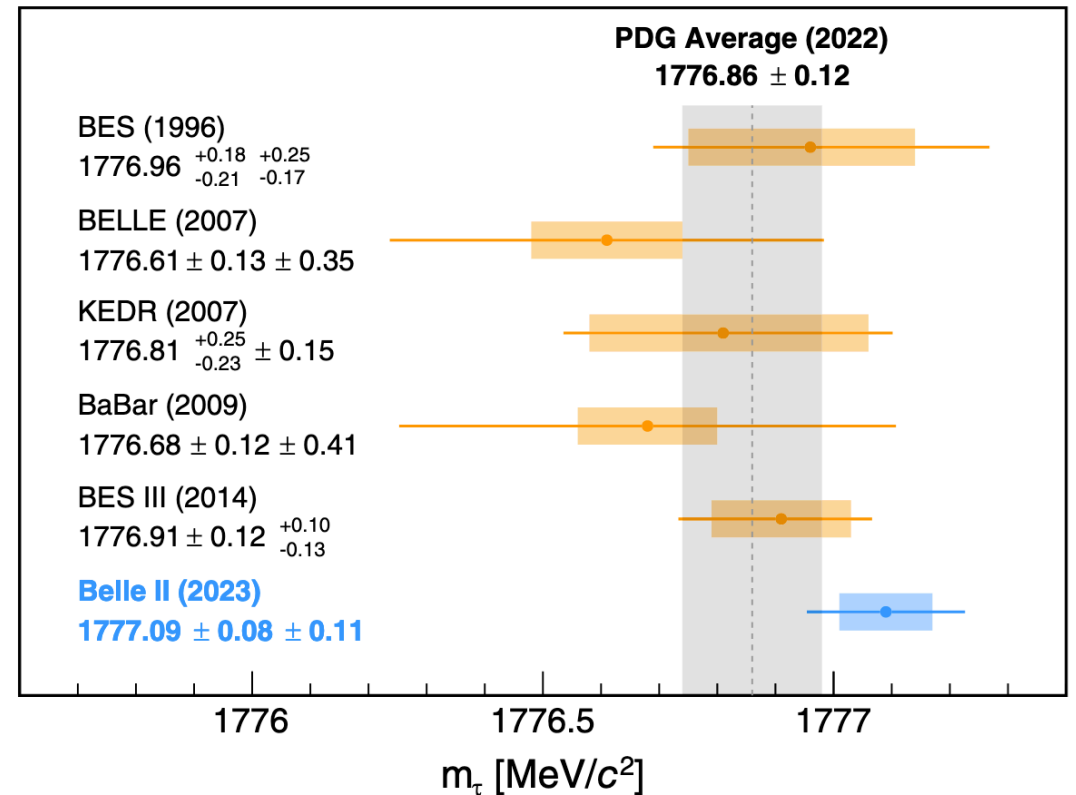


# Tau Mass Result

- Analysis performed on part of the on-resonance Run 1 data (190 fb<sup>-1</sup>)
  - **Achieve world's most precise measurement:**  $m_\tau = 1777.09 \pm 0.08_{stat} \pm 0.11_{sys} \text{ MeV}/c^2$
- ⇒ **Demonstration of the high precision capability of Belle II!**

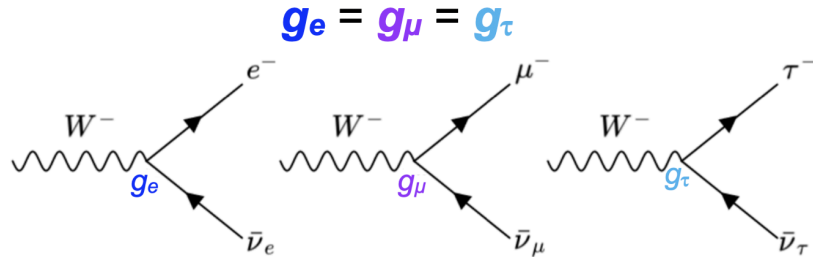


Phys. Rev. D 108, 032006 (2023)



# Lepton Flavour Universality

- LFU  $\Rightarrow$  couplings of leptons to W bosons is flavour independent

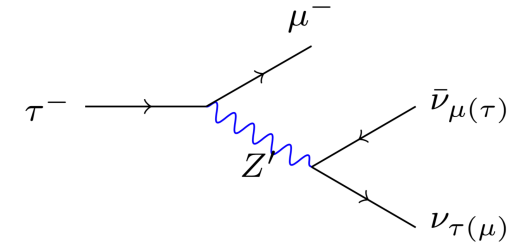


- Precision test of **e- $\mu$  universality** in  $\tau$  decays

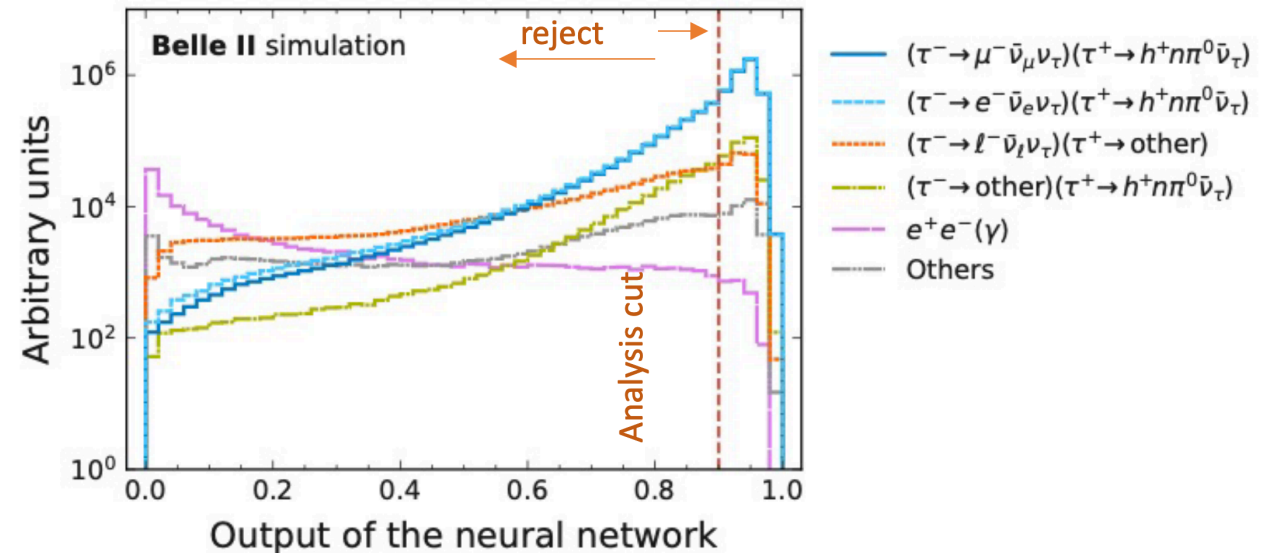
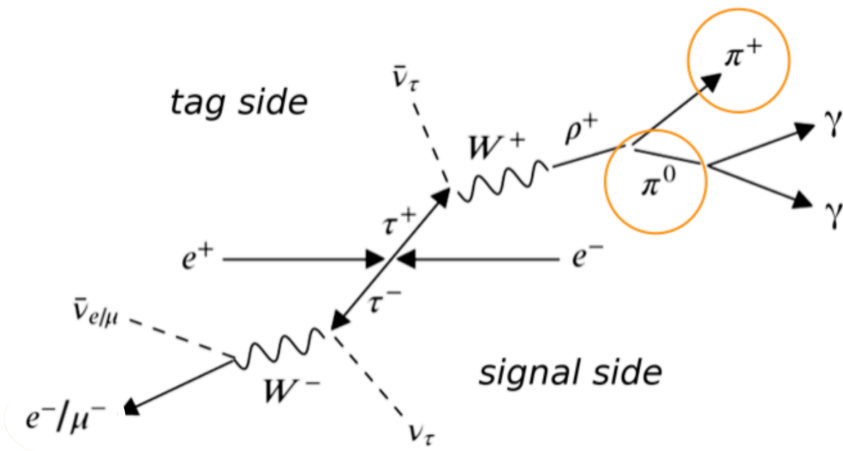
$$R_\mu = \frac{BF[\tau^- \rightarrow \mu^- \bar{\nu}_\mu \nu_\tau]}{BF[\tau^- \rightarrow e^- \bar{\nu}_e \nu_\tau]}$$

- New Physics could enter in many ways, e.g. LFV neutral current.

*Phys. Lett. B 2016 09 046*

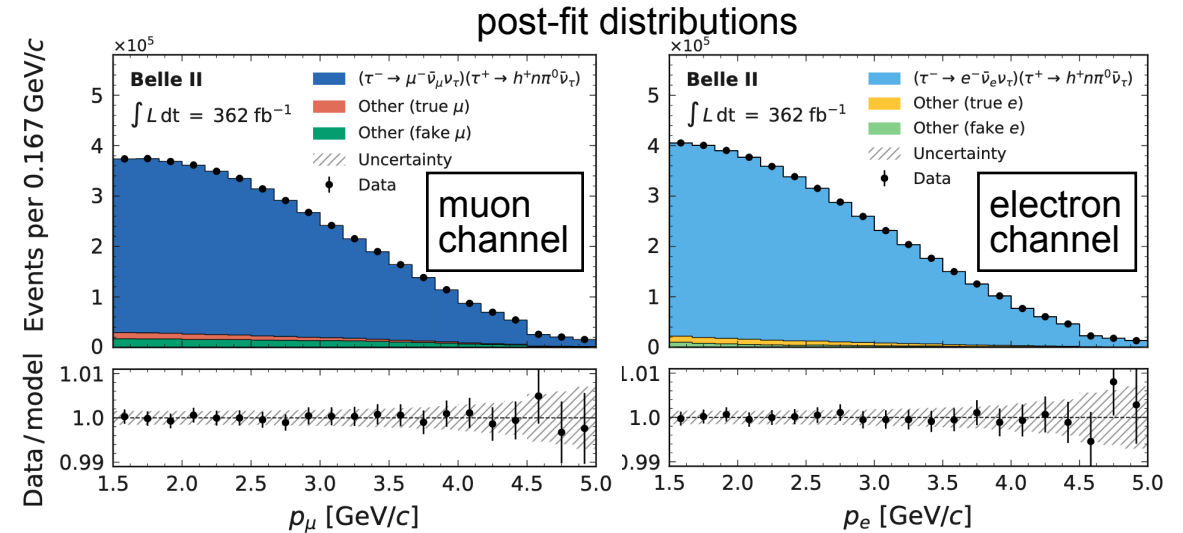


- Belle II analysis on the full on-resonance Run 1 data (362 fb<sup>-1</sup>). Considering the **1x1 prong topology with  $\rho$  tag**.
- Restrict to barrel region, 1.5 < p < 5 GeV ( $\downarrow$  PID systematics).
- Rectangular cuts + neural networks to remove backgrounds.
- Achieve 94% purity with 9.6% signal efficiency (e+ $\mu$ )

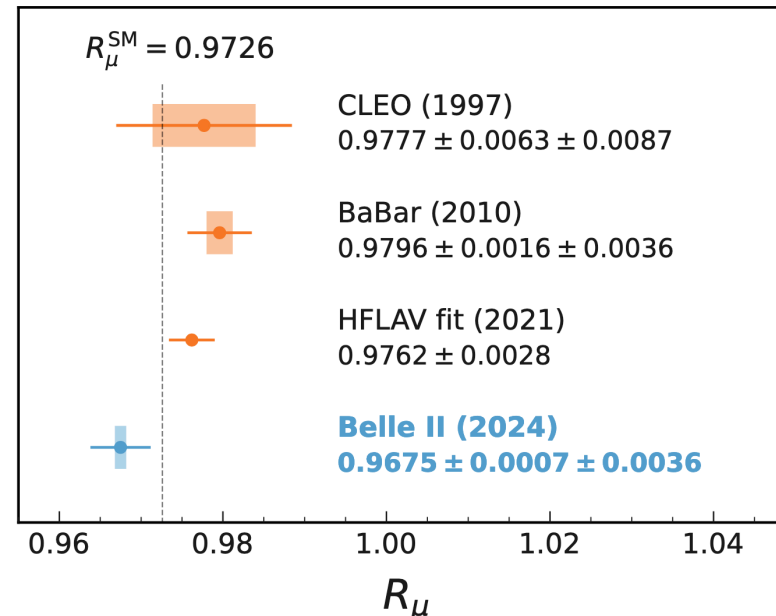


# Lepton Flavour Universality

- Measure  $R_\mu$  with a binned maximum likelihood fit using the *pyhf* library OSS 6 (2021) 2823
  - ▶ 21 bins over lepton momentum from 1.5 - 5 GeV
  - ▶ systematics included with (constrained) nuisance parameters
  - ▶ 3 templates for the  $\mu$  &  $e$  channels (signal decay, background w/wo correct particle on signal side)
- Huge effort within Belle II to get **lepton ID efficiency** and **fake-rate systematics** under control.



Source	Uncertainty [%]
<b>Charged-particle identification:</b>	<b>0.32</b>
Electron identification	0.22
Muon misidentification	0.19
Electron misidentification	0.12
Muon identification	0.05
Imperfections of the simulation:	0.14
Trigger	0.10
Size of the simulated samples	0.06
Luminosity	0.01
Total	0.37



*JHEP. 2024, 205 (2024)*

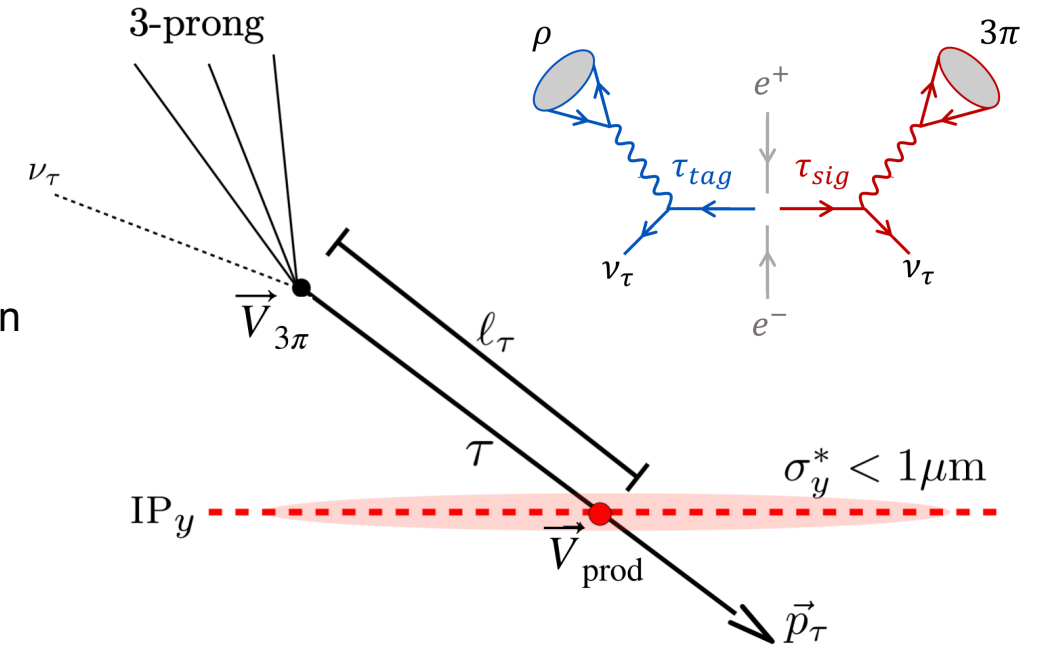
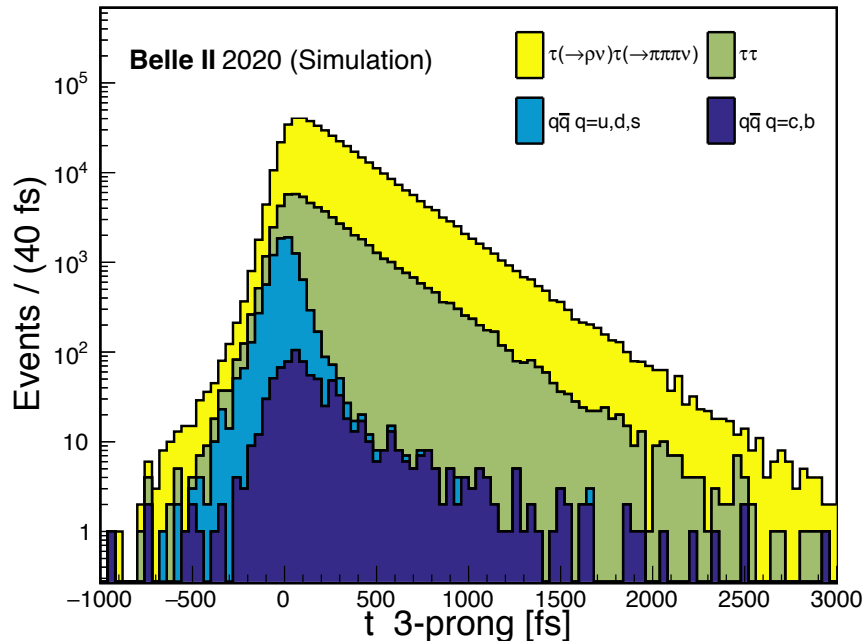
**World's most precise test of LFU in  $\tau$  decays!**

Consistent with SM at  $1.4\sigma$

# Next precision challenge: tau lifetime

- Can relate proper decay time to **flight distance** and **momentum** in lab frame: 
$$t = \frac{l_\tau}{\beta\gamma c} = m \frac{l_\tau}{p_\tau} \Rightarrow \text{measure these!}$$
- Reconstruct 3-prong vertex and estimate  $p_\tau$  using decay products
- Exploit the **tiny beam spot size** near the IP  $\Rightarrow$  estimate production vertex as the intersection of p-direction with vertical plane of IP

**This method is only possible at Belle II!**



- Current world-best measurement from Belle (711 fb<sup>-1</sup>) using 3x3 prong topology. 
$$\tau_\tau = 290.1 \pm 0.53 \text{ (stat)} \pm 0.33 \text{ (sys) fs} \quad \text{Phys. Rev. Lett. 112, 031801}$$
- Belle II has **5x higher efficiency** (1x3 prong), and **2x better proper decay time resolution** (where we have PXD)

**Belle II can deliver a world-leading measurement with the current data**



# Search for LFV $\tau$ decays

- In the SM, charged LFV decays via neutrino oscillation are highly suppressed and immeasurably small:

$$Br(\ell_1 \rightarrow \ell_2 \gamma)_{SM} \propto \left( \frac{\delta m_\nu^2}{m_W^2} \right)^2 \sim 10^{-54} - 10^{-49}$$

⇒ **Observation would be a clear signature of NP!**

- $\mu \rightarrow e$ : stringent bounds exist from MEG experiment
- $\tau \rightarrow \mu/e$ : weaker bounds mainly from Belle & BABAR.

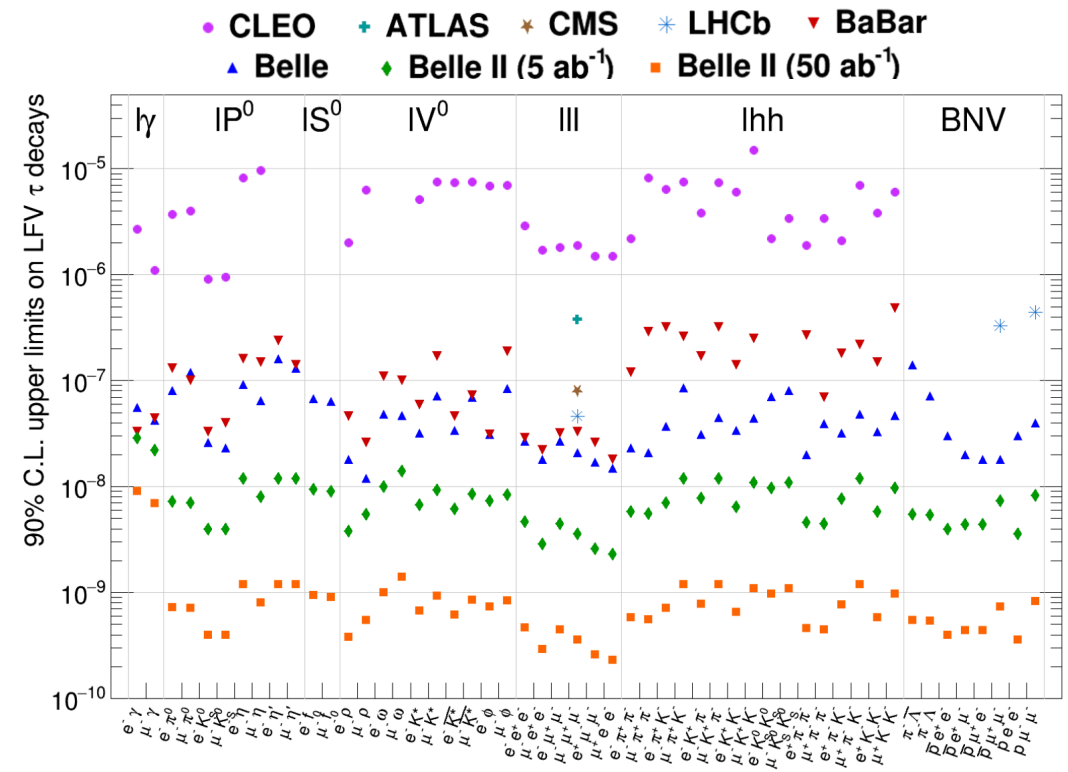
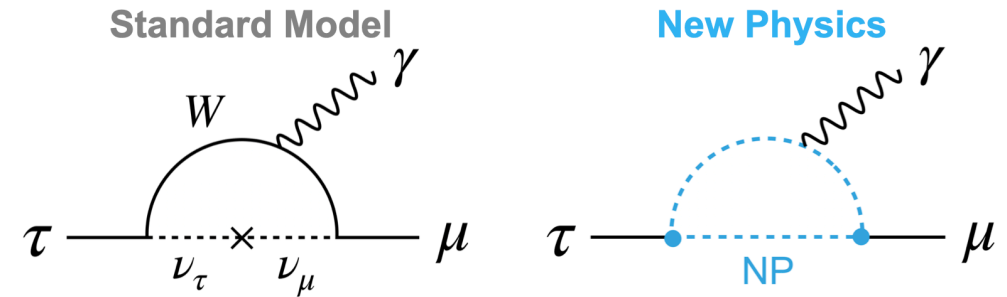
• Wide variety of  $\tau$  LFV decay modes to study

- ▶ Radiative  $\tau \rightarrow \ell \gamma$  Golden channels:  $\tau \rightarrow \mu \mu \mu$ ,  $\tau \rightarrow \mu \gamma$
- ▶ Leptonic.  $\tau \rightarrow \ell \ell \ell$  SL modes can test couplings b/w quarks and leptons, and better discriminate b/w NP models.
- ▶ Semileptonic.  $\tau \rightarrow \ell h(h)$
- ▶ “Invisible”  $\tau \rightarrow \ell \alpha$  Invisible largely unconstrained.

Extrapolating from Belle results (5, 50  $ab^{-1}$ ):

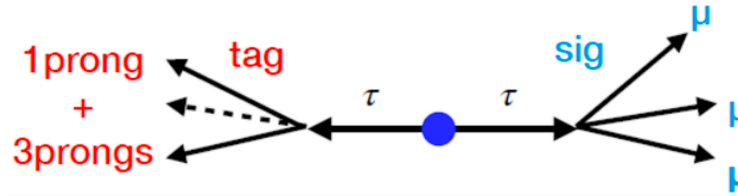
**Belle II will push the current bounds forward by at least one order of magnitude!**

[arXiv:2203.14919](https://arxiv.org/abs/2203.14919)



# LFV $\tau \rightarrow 3\mu$

- One of the “golden channels” for  $\tau$  LFV. Neutrinoless 3-body decay ( $3\mu$ ).
  - Analysis strategy:
    - ▶ **inclusive tag** (3x1 & 3x3 topologies)
    - ▶ background rejection using **BDT**
- ⇒ **signal efficiency 2.7x Belle**



- Extract signal yield in 2D plane:

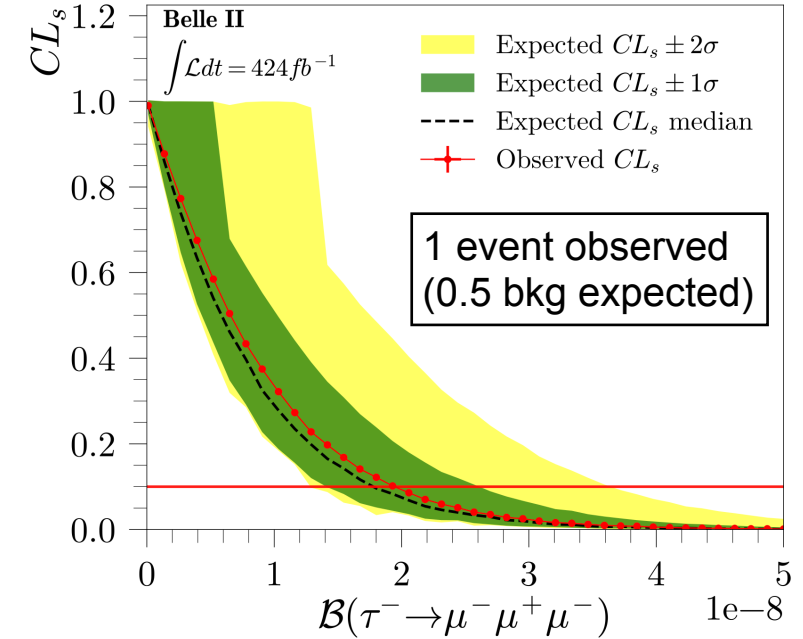
$$M_{3\mu} = \sqrt{E_{3\mu}^2 - P_{3\mu}^2}$$

$$\Delta E_{3\mu} = E_{3\mu}^{CM} - E_{\text{beam}}^{CM}$$

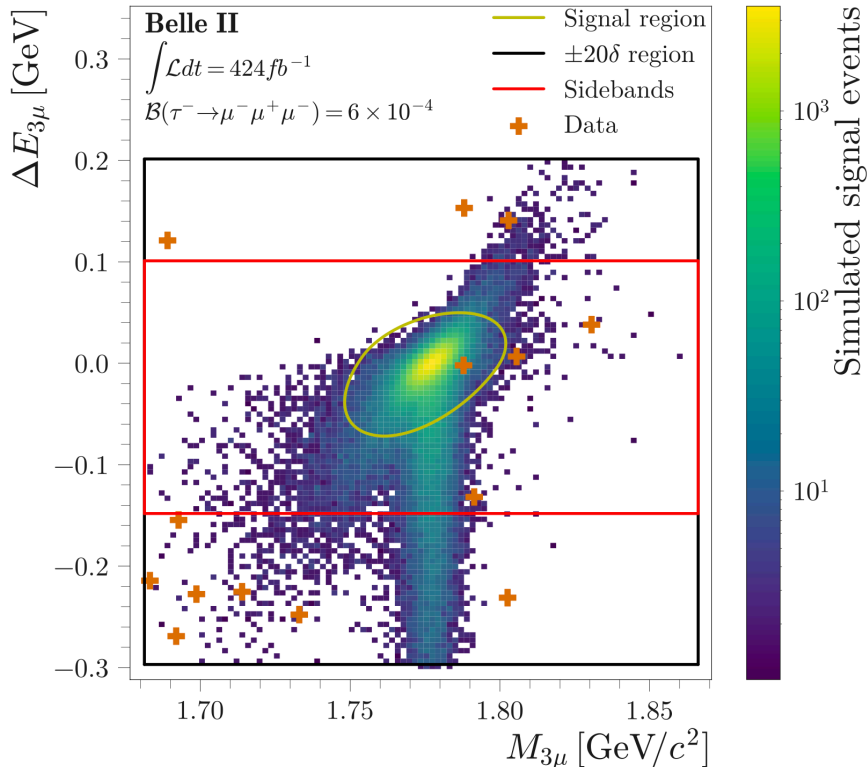
- For signal:
  - ▶  $\Delta E_{3\mu}$  close to zero, and  $M_{3\mu}$  close to  $\tau$  mass.
  - ▶ Tails due to initial and final state radiation.

**Belle II with 424 fb<sup>-1</sup> set world's best upper limits!**

JHEP09(2024)062



	UL at 90% C.L. on $\mathcal{B}(\tau \rightarrow 3\mu)$
ATLAS	$3.8 \times 10^{-7}$ ( $\mathcal{L} = 20.3 \text{ fb}^{-1}$ )
LHCb	$4.6 \times 10^{-8}$ ( $\mathcal{L} = 3.0 \text{ fb}^{-1}$ )
CMS	$2.9 \times 10^{-8}$ ( $\mathcal{L} = 131 \text{ fb}^{-1}$ )
Belle	$2.1 \times 10^{-8}$ ( $\mathcal{L} = 782 \text{ fb}^{-1}$ )
BaBar	$3.3 \times 10^{-8}$ ( $\mathcal{L} = 486 \text{ fb}^{-1}$ )
<b>Belle II</b>	<b><math>1.9 \times 10^{-8}</math> (<math>\mathcal{L} = 424 \text{ fb}^{-1}</math>)</b>



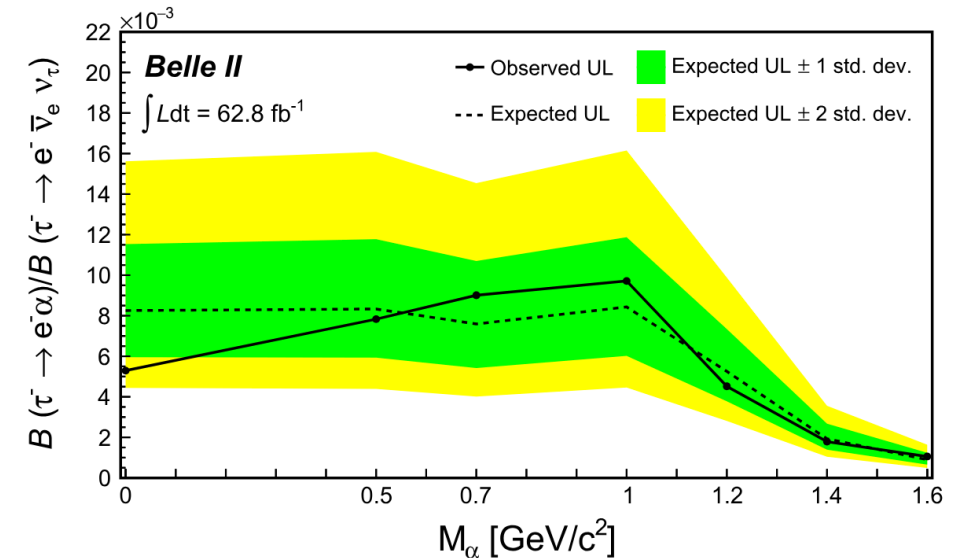
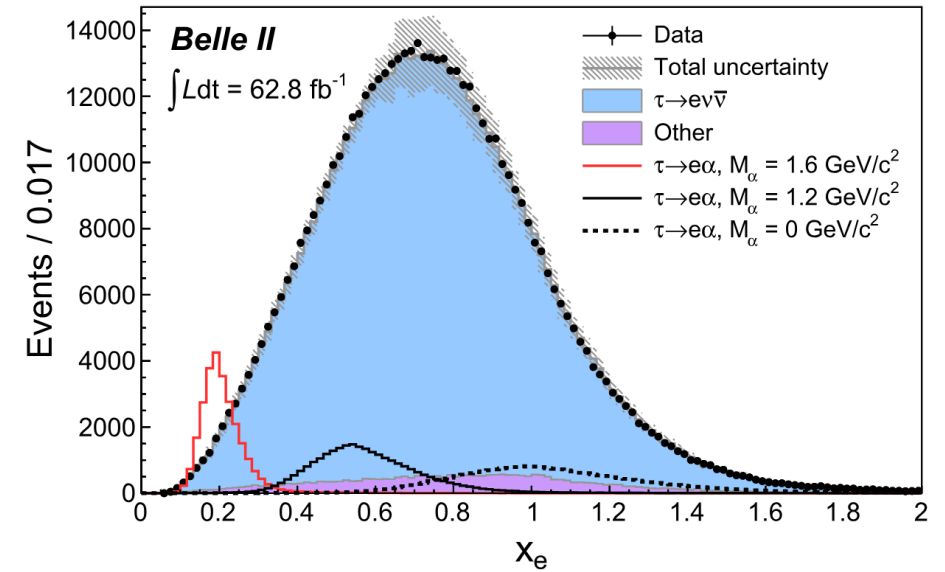
# LFV $\tau \rightarrow \ell \alpha$ (invisible)

- Search for LFV  $\tau^- \rightarrow \ell^- \alpha$  ( $\ell = e, \mu$ ) where  $\alpha$  is an invisible particle.
- $\alpha$  can enter from NP models such as light ALP and many more.
- Cut-based analysis to suppress reducible bkg ( $q\bar{q}, \ell\ell\gamma, 2\text{-photon}$ ) and also correctly-tagged  $\tau^+\tau^-$  with misidentified signal (e.g  $\tau \rightarrow \pi\nu$ ).
- High purity: 96(e)-92( $\mu$ )% with  $\epsilon = 9\text{-}17\%$  depending on  $m_\alpha$ .
- Cannot boost to the signal  $\tau$  rest frame due to undetected neutrinos.
- Approximate using *pseudo-rest frame* with two assumptions

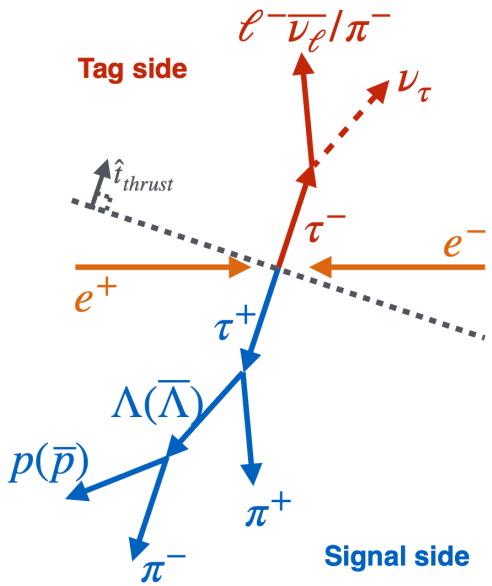
1.  $E_\tau \approx \sqrt{s}/2$
2.  $\hat{p}_\tau \approx -\frac{\vec{P}_{tag}}{|\vec{P}_{tag}|}$

⇒ **Bump search over  $\tau \rightarrow \ell \bar{\nu} \nu$  momentum spectrum.**

**Belle II with 62.8 fb<sup>-1</sup> set world's best upper limits,  
2.2 - 14x better than previous!** PRL 130, 181803 (2023)



# LFV $\tau \rightarrow \Lambda(\bar{\Lambda})\pi$

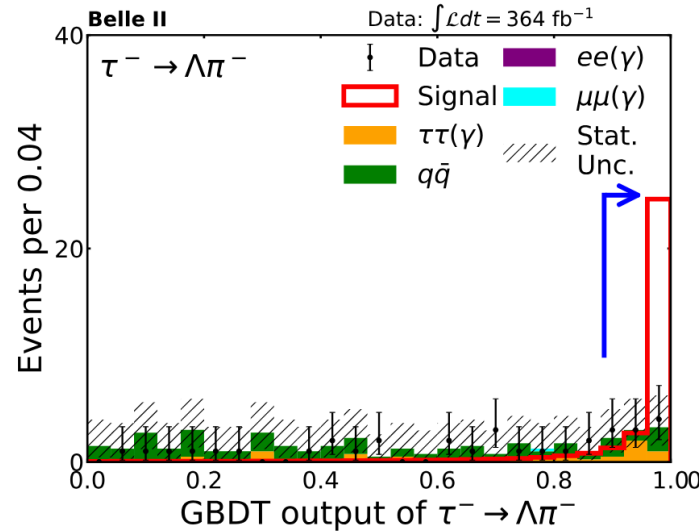
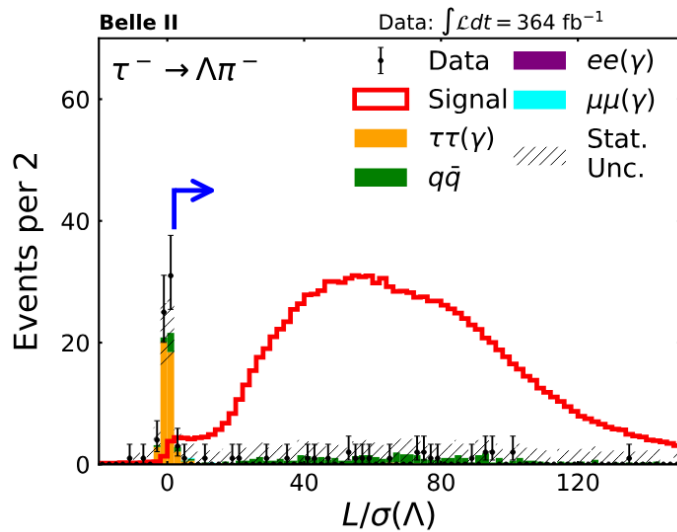
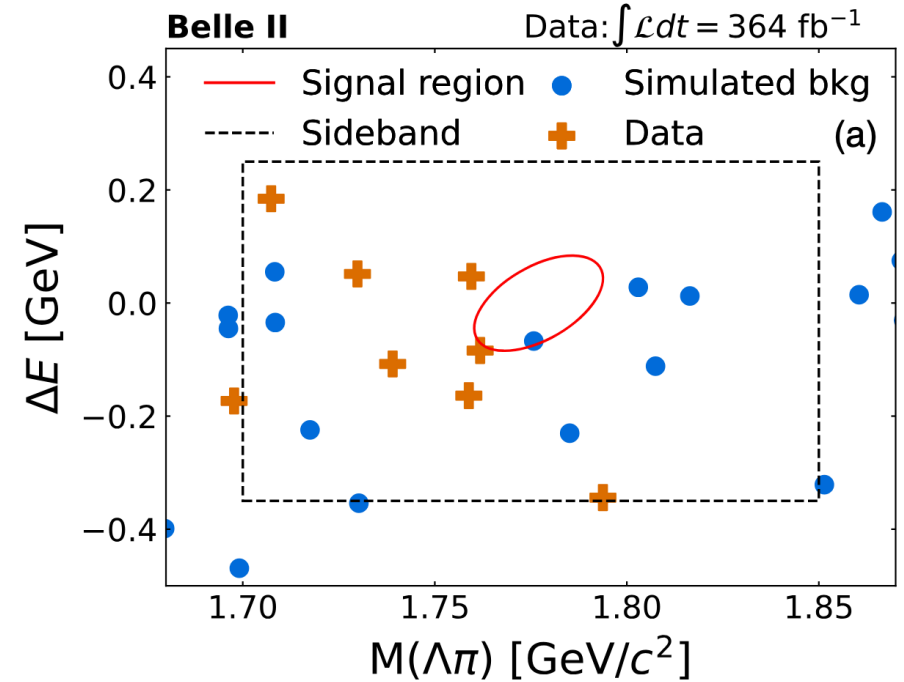


- These decays violate lepton number and baryon number conservation.  
**BNV key ingredient to explain asymmetry of matter.**

- $\Lambda(\bar{\Lambda})$  reconstructed from  $p(\bar{p})$  and  $\pi$ .
- Background suppression: Pre-selection ( $\Delta$  flight distance most discriminate). Followed by **gradient BDT**.
- $\epsilon_{sig} \sim 9.5\%$  (9.9%) for  $\tau \rightarrow \Lambda(\bar{\Lambda})\pi$

- Look for an excess within ellipses ( $\Delta E$  vs mass)

$$\Delta E = E_{\Lambda\pi}^{CM} - \sqrt{s}/2 \quad M(\Lambda\pi) = \sqrt{E_{\Lambda\pi}^2 - P_{\Lambda\pi}^2}$$



- No observed events in 364 fb<sup>-1</sup>.  
New Belle II limits at 90% CL are:

$$B(\tau \rightarrow \Lambda\pi) < 4.7 \times 10^{-8}$$

$$B(\tau \rightarrow \bar{\Lambda}\pi) < 4.3 \times 10^{-8}$$

**World's best limits!**

Phys. Rev. D 110 (2024)112003



# Summary

- After big efforts to control systematic uncertainties, Belle II is providing **world-leading precision measurements** in the tau sector:
  - $\tau$  mass measurement and test of e- $\mu$  universality.
- High signal reconstruction efficiency with MVA techniques and inclusive tagging has enabled Belle II to already deliver **world-leading limits on LFV  $\tau$  decays**:
  - $\tau \rightarrow \mu\mu\mu$ ,  $\tau \rightarrow \ell\alpha$ , and  $\tau \rightarrow \Lambda(\bar{\Lambda})\pi$  shown today
  - + more (e.g  $\tau \rightarrow e2\ell$ ,  $\tau \rightarrow K_s\ell$ )
- Many more results are in the pipeline:
  - Another LFV “golden channel”:  $\tau \rightarrow \mu\gamma$
  - Next precision challenge:  $\tau$  lifetime
  - Rate and angular CP asymmetries in  $\tau \rightarrow K_s\pi\nu$ ,  $V_{us}$  measurement, absolute BFs
  - and much more

⇒ **Exciting times ahead!**