

# **KMI School 2024**

Quantum Computing for Particle Physics and Astrophysics  
Nagoya University, March 6, 2024

## **Variational Quantum Algorithm and Quantum Machine Learning**

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# Ground State of Physical System

Charactering fundamental properties of a physical system often requires to know the ground state and its energy eigenvalue of the system

For an arbitrary state  $|\psi\rangle$ , the expectation value of a given Hamiltonian  $H$  is bounded by the ground state energy  $\lambda_{\min}$ :

$$\langle \psi | H | \psi \rangle \geq \lambda_{\min}$$

 Variational Method in Quantum Mechanics

Capability of quantum computer for calculating the expectation value of a Hamiltonian enables us to use the variational method to find the ground state

# Variational Method

Let us consider a problem of approximating the energy eigenvalue for a certain system expressed by a matrix

For an eigenstate  $|\psi_i\rangle$  and the eigenvalue  $\lambda_i$  of a given operator  $A$ :

$$A |\psi_i\rangle = \lambda_i |\psi_i\rangle \quad \lambda_i = \lambda_i^* \text{ is real if } A \text{ is a Hermitian observable } (A = A^\dagger)$$

Considering the Hamiltonian  $H$  of a given physical system, the Hamiltonian can be expressed with eigenvalues  $\lambda_i$  and eigenvectors  $|\psi_i\rangle$  in a diagonal form:

$$\rightarrow H = \sum_{i=1}^N \lambda_i |\psi_i\rangle \langle \psi_i| \quad \langle \psi_i | \psi_j \rangle = 0 \quad \forall i \neq j$$

# Variational Method

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For Hamiltonian  $H = \sum_{i=1}^N \lambda_i |\psi_i\rangle\langle\psi_i|$ , the expectation value of the Hamiltonian for an arbitrary state  $|\psi\rangle$  is  $\langle\psi|H|\psi\rangle =: \langle H\rangle_\psi$

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$$\begin{aligned}\langle H\rangle_\psi &= \langle\psi| \left( \sum_{i=1}^N \lambda_i |\psi_i\rangle\langle\psi_i| \right) |\psi\rangle \\ &= \sum_{i=1}^N \lambda_i \langle\psi|\psi_i\rangle\langle\psi_i|\psi\rangle \\ &= \sum_{i=1}^N \lambda_i |\langle\psi_i|\psi\rangle|^2\end{aligned}$$

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$$\langle H\rangle_\psi = \langle\psi| \left( \sum_{i=1}^N \lambda_i |\psi_i\rangle\langle\psi_i| \right) |\psi\rangle$$

Since  $|\langle\psi_i|\psi\rangle|^2 \geq 0$

$$= \sum_{i=1}^N \lambda_i \langle\psi|\psi_i\rangle\langle\psi_i|\psi\rangle$$

$$\langle H\rangle_\psi = \sum_{i=1}^N \lambda_i |\langle\psi_i|\psi\rangle|^2 \geq \lambda_{\min}$$

$$= \sum_{i=1}^N \lambda_i |\langle\psi_i|\psi\rangle|^2$$

Equality holds if  $|\psi\rangle = |\psi_{\min}\rangle$

# Variational Method

$$\langle H \rangle_{\psi} = \sum_{i=1}^N \lambda_i |\langle \psi_i | \psi \rangle|^2 \geq \lambda_{\min} \quad \text{Equality holds if } |\psi\rangle = |\psi_{\min}\rangle$$

If one can take  $|\psi\rangle$  to be as close as possible to  $|\psi_{\min}\rangle$ ,  
 $\lambda_{\min}$  can be well approximated by  $\langle H \rangle_{\psi}$

# Variational Method

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## But how can we do that?

We cannot take  $|\psi\rangle$  in an arbitrary manner because the overlap with  $|\psi_{\min}\rangle$  is exponentially small with increasing system size



# State Preparation in Variational Method

How can we take  $|\psi\rangle$  to be close to  $|\psi_{\min}\rangle$ ?

## Strategy:

- ▶ Consider a certain initial state  $|\psi_0\rangle$
- ▶ Generate a trial state  $|\psi(\boldsymbol{\theta})\rangle = U(\boldsymbol{\theta})|\psi_0\rangle$  by applying a unitary  $U(\boldsymbol{\theta})$  (called **Ansatz**) to  $|\psi_0\rangle$
- ▶ Calculate  $\lambda(\boldsymbol{\theta}) := \langle\psi(\boldsymbol{\theta})|H|\psi(\boldsymbol{\theta})\rangle$
- ▶ Find the smallest value of  $\lambda(\boldsymbol{\theta})$  by varying the parameter  $\boldsymbol{\theta}$

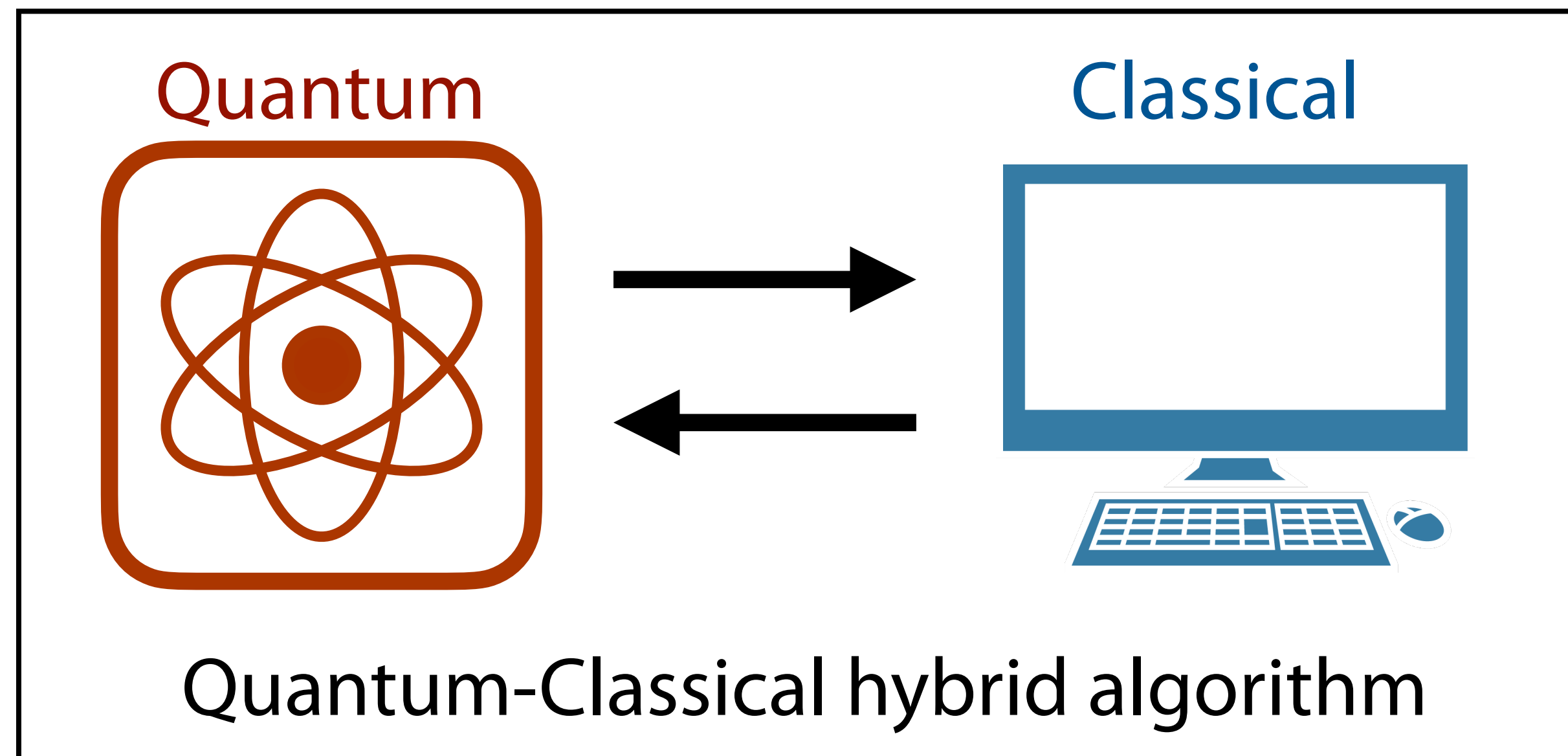
$$\boldsymbol{\theta} \rightarrow \boldsymbol{\theta}^* \quad \Rightarrow \quad \lambda(\boldsymbol{\theta}^*) = \langle\psi(\boldsymbol{\theta}^*)|H|\psi(\boldsymbol{\theta}^*)\rangle \sim \langle\psi_{\min}|H|\psi_{\min}\rangle = \lambda_{\min}$$

# State Preparation in Variational Method

$$\theta \rightarrow \theta^* \Rightarrow \lambda(\theta^*) = \langle \psi(\theta^*) | H | \psi(\theta^*) \rangle \sim \langle \psi_{\min} | H | \psi_{\min} \rangle = \lambda_{\min}$$

## ➡ Variational Quantum Eigensolver (VQE)

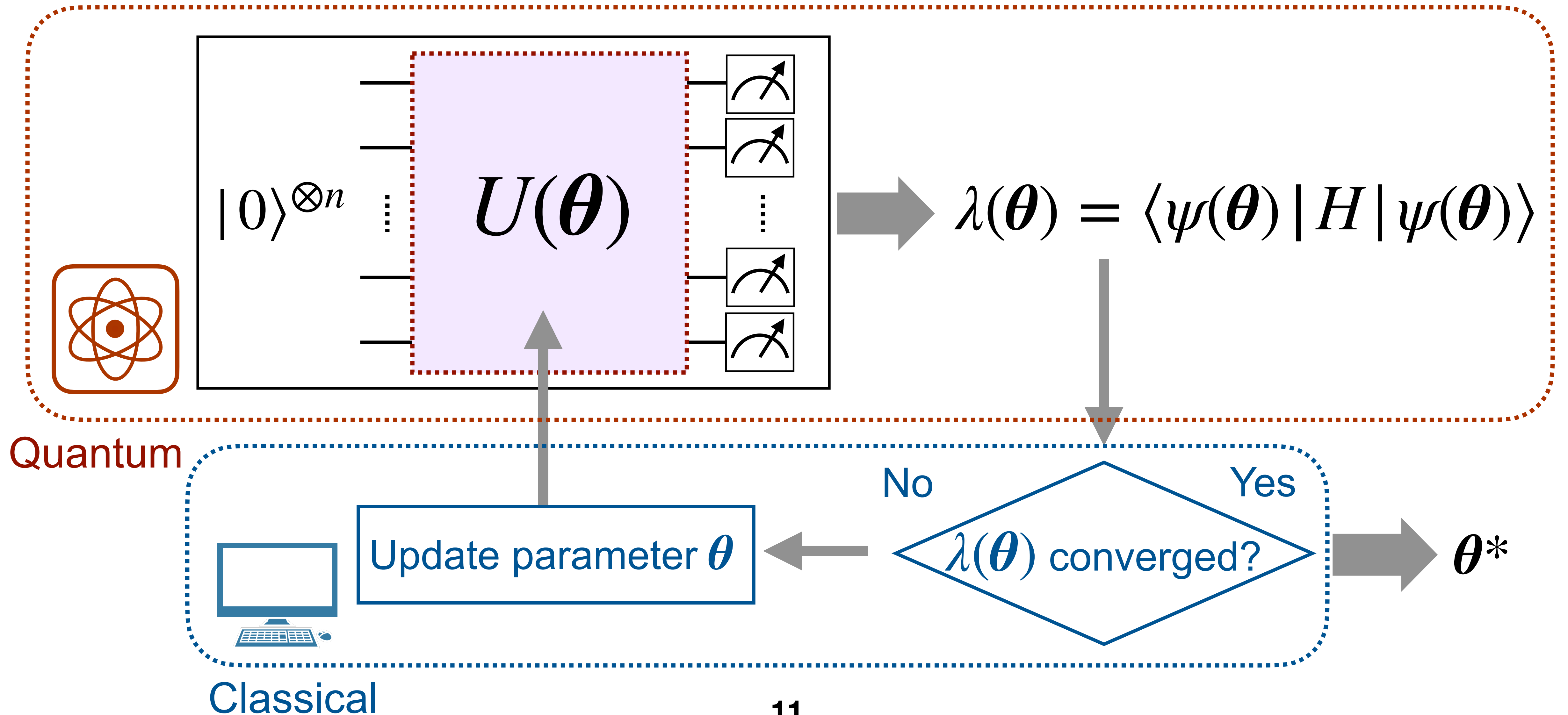
Find optimized parameter  $\theta^*$  by repeating the calculation of  $\lambda(\theta)$  using quantum computer and parameter update using classical computer



Relatively robust against hardware noise in the present quantum computer

# Variational Quantum Eigen solver

Find optimized parameter  $\theta^*$  by repeating the calculation of  $\lambda(\theta)$  using quantum computer and parameter update using classical computer



# Parameter Optimization

Optimize parameter  $\theta$  so that  $\lambda(\theta) := \langle \psi(\theta) | H | \psi(\theta) \rangle$  becomes the smallest

$$\theta \rightarrow \theta^* \quad \Rightarrow \quad \lambda(\theta^*) = \langle \psi(\theta^*) | H | \psi(\theta^*) \rangle \sim \langle \psi_{\min} | H | \psi_{\min} \rangle = \lambda_{\min}$$

➡ **Gradient descent** to find parameter  $\theta^*$  that minimizes  $\lambda(\theta)$

Calculate the gradient  $\partial\lambda(\theta)/\partial\theta_j$  with respect to each  $\theta_j$  and update the parameter  $\theta_j$  so that  $\lambda(\theta)$  decreases

$$\theta_j \rightarrow \theta'_j = \theta_j - \epsilon \frac{\partial\lambda(\theta)}{\partial\theta_j} \quad \epsilon (> 0) = \text{Learning rate}$$

# Parameter Optimization

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So-called “**Parameter Shift Rule**” used to obtain the gradient for certain type of unitaries

Consider a unitary  $U(\boldsymbol{\theta}) = \prod_{j=1}^L U_j(\theta_j)$  with  $U_j(\theta_j) = e^{-i\theta_j P_j/2}$   $P_j \in \{X, Y, Z\}$

# Parameter Optimization

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Expectation value of observable  $M$

$$\langle M(\boldsymbol{\theta}) \rangle = \text{Tr} [M U(\boldsymbol{\theta}) \rho U(\boldsymbol{\theta})^\dagger]$$

$$\Rightarrow \frac{\partial}{\partial \theta_j} \langle M(\boldsymbol{\theta}) \rangle = \frac{1}{2} \left[ \left\langle M \left( \boldsymbol{\theta} + \frac{\pi}{2} \mathbf{e}_j \right) \right\rangle - \left\langle M \left( \boldsymbol{\theta} - \frac{\pi}{2} \mathbf{e}_j \right) \right\rangle \right]$$

$\mathbf{e}_j$  = unit vector with 1 in  $j$ -th element, 0 otherwise

Gradient of the expectation value can be obtained as a difference between two expectation values with  $\theta_j \pm \pi/2$

*Caveat:*

*Need to calculate expectation values twice per parameter*

# Variational Quantum Algorithm

VQE is a typical example of Variational Quantum Algorithm (VQA)

## Variational Quantum Algorithm

- Implement unitary operator  $U(\boldsymbol{\theta})$  with parameterized quantum circuit (called *variational quantum circuit* or *variational form*)
- Generate output state  $|\psi(\boldsymbol{\theta})\rangle = U(\boldsymbol{\theta}) |\psi_0\rangle$  by applying  $U(\boldsymbol{\theta})$  to an initial state  $|\psi_0\rangle$
- Optimize parameter  $\boldsymbol{\theta}$  so that the output state approximates the desired state



# Exercise of VQA

Let us try to approximate randomly chosen quantum state using VQA

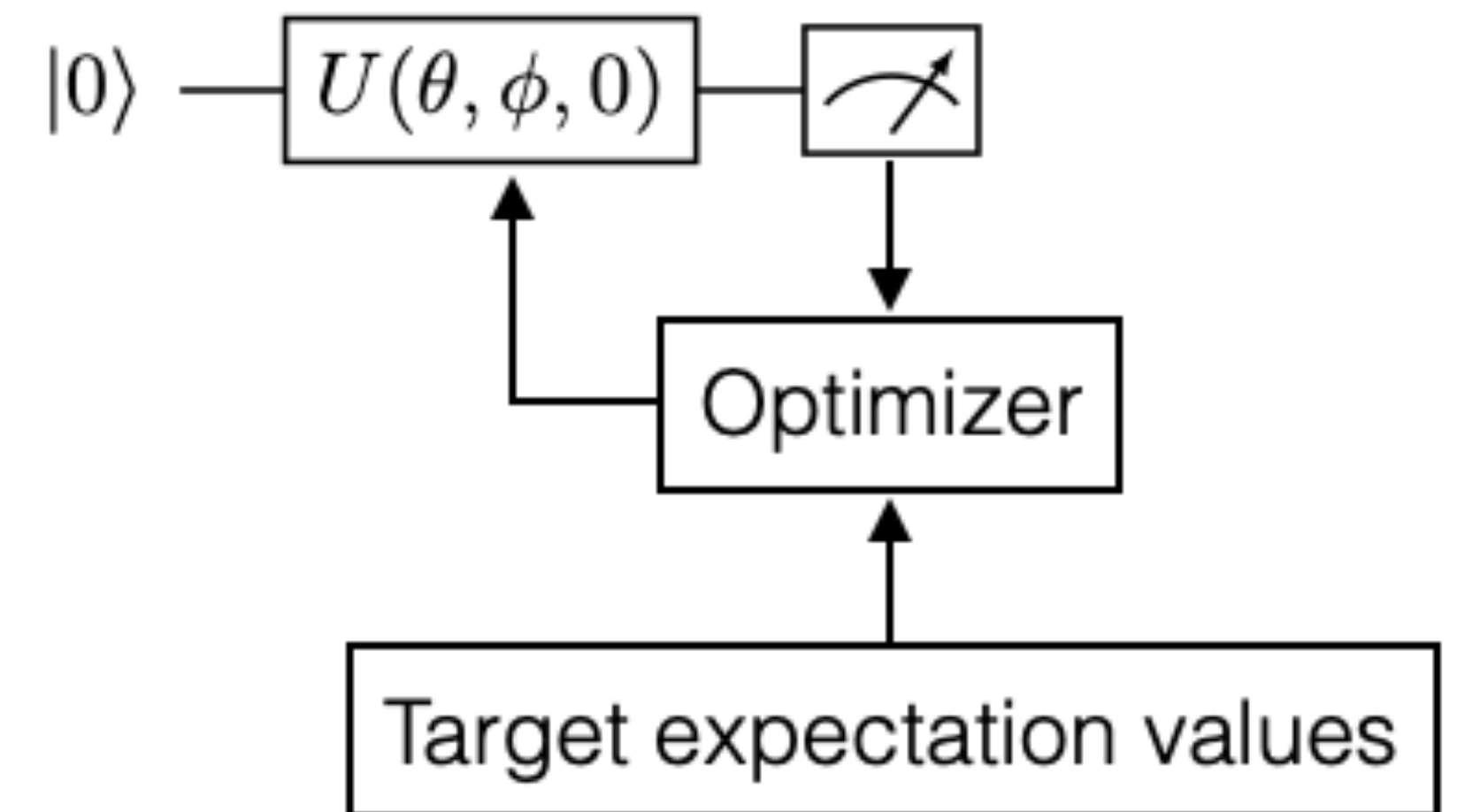
- ▶ Generate a random single-qubit state  $|\psi_0\rangle$
- ▶ Approximate  $|\psi_0\rangle$  with a trial state  $|\psi(\theta, \phi)\rangle = U(\theta, \phi, 0)|0\rangle$
- ▶ Use  $U(\theta, \phi, \lambda = 0)$  gate as a single-qubit variational form:

$$U(\theta, \phi, \lambda) = \begin{pmatrix} \cos \frac{\theta}{2} & -e^{i\lambda} \sin \frac{\theta}{2} \\ e^{i\phi} \sin \frac{\theta}{2} & e^{i\lambda+i\phi} \cos \frac{\theta}{2} \end{pmatrix}$$

Single-qubit state fully determined by the expectation values of Pauli  $X$ ,  $Y$  and  $Z$  operators

➡ Optimize  $(\theta, \phi)$  so that

$$\langle P \rangle_{|\psi_0\rangle} \approx \langle P \rangle_{|\psi(\theta, \phi)\rangle} \quad \forall P \in \{X, Y, Z\}$$





# Exercise of VQE

Consider a problem of minimizing the expectation value of an observable using parameter shift rule

- ▶ Generate a trial state  $|\psi(\boldsymbol{\theta})\rangle = U(\boldsymbol{\theta})|0\rangle$  with

$$U(\boldsymbol{\theta}) = \prod_l \left( \prod_j R_j^Z(\theta_{j2}^l) R_j^Y(\theta_{j1}^l) \right)$$

- ▶ Calculate the expectation value  $\langle \psi(\boldsymbol{\theta}) | O | \psi(\boldsymbol{\theta}) \rangle$  with  $O = ZXY$
- ▶ Minimize it in a quantum-classical optimization loop

We will try another VQE exercise in a later session

# Hands-on Exercise (I)

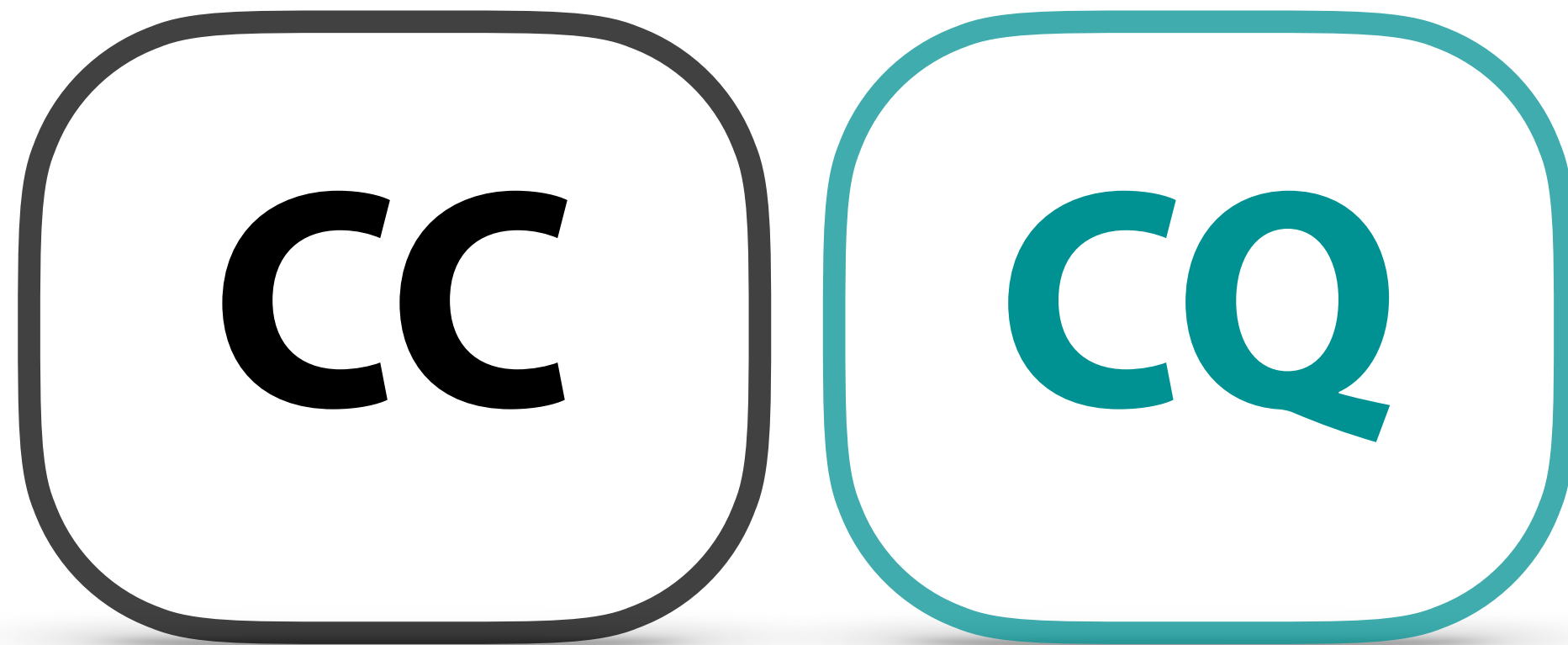
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- ▶ Variations Quantum Algorithm :
  - Approximate randomly chosen quantum state
- ▶ Variational Quantum Eigensolver :
  - Minimize expectation value of an observable

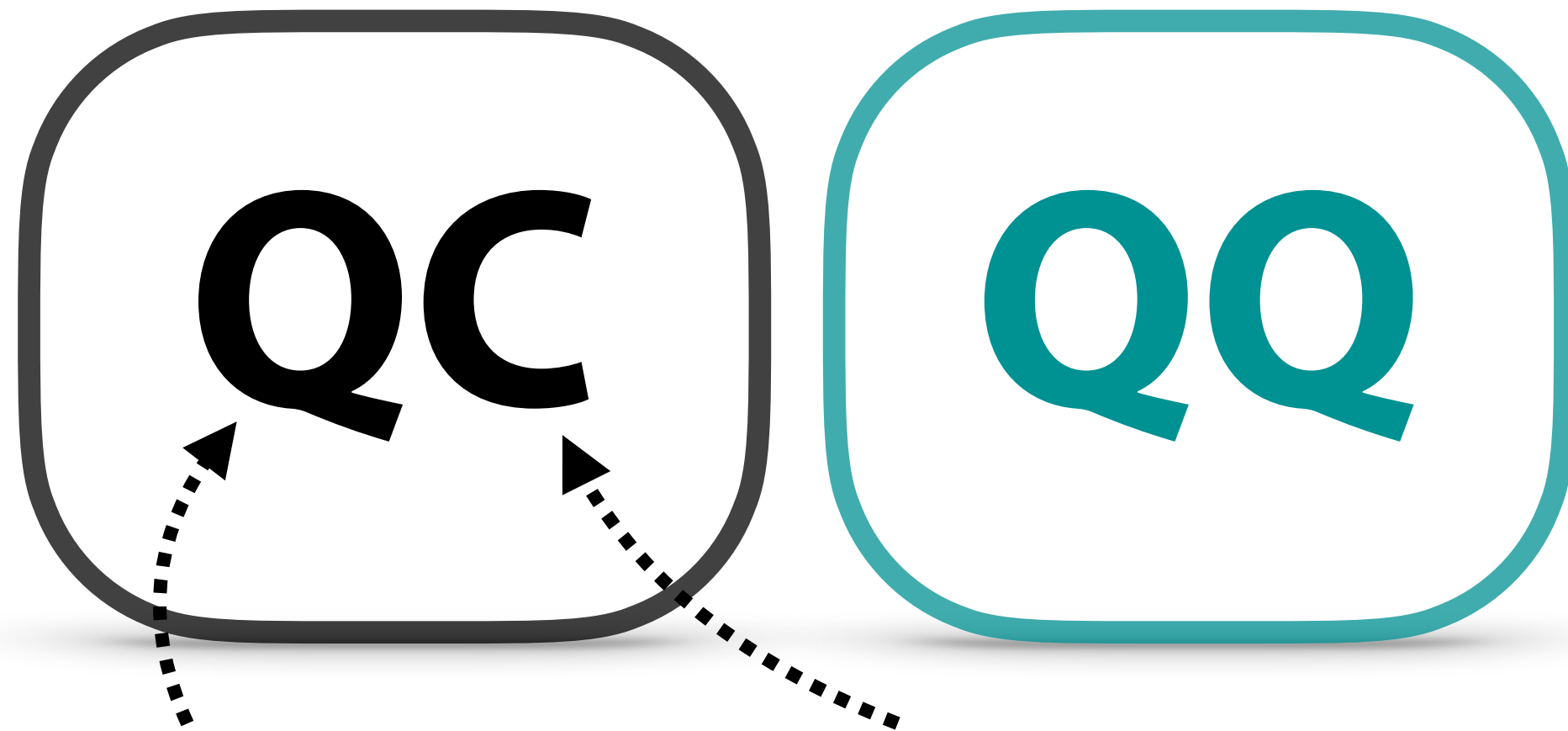
# Quantum Machine Learning

Performing machine learning task by including quantum computing technology in the training and/or inference processes

➔ **Quantum Machine Learning**



Classical = **C**  
Quantum = **Q**



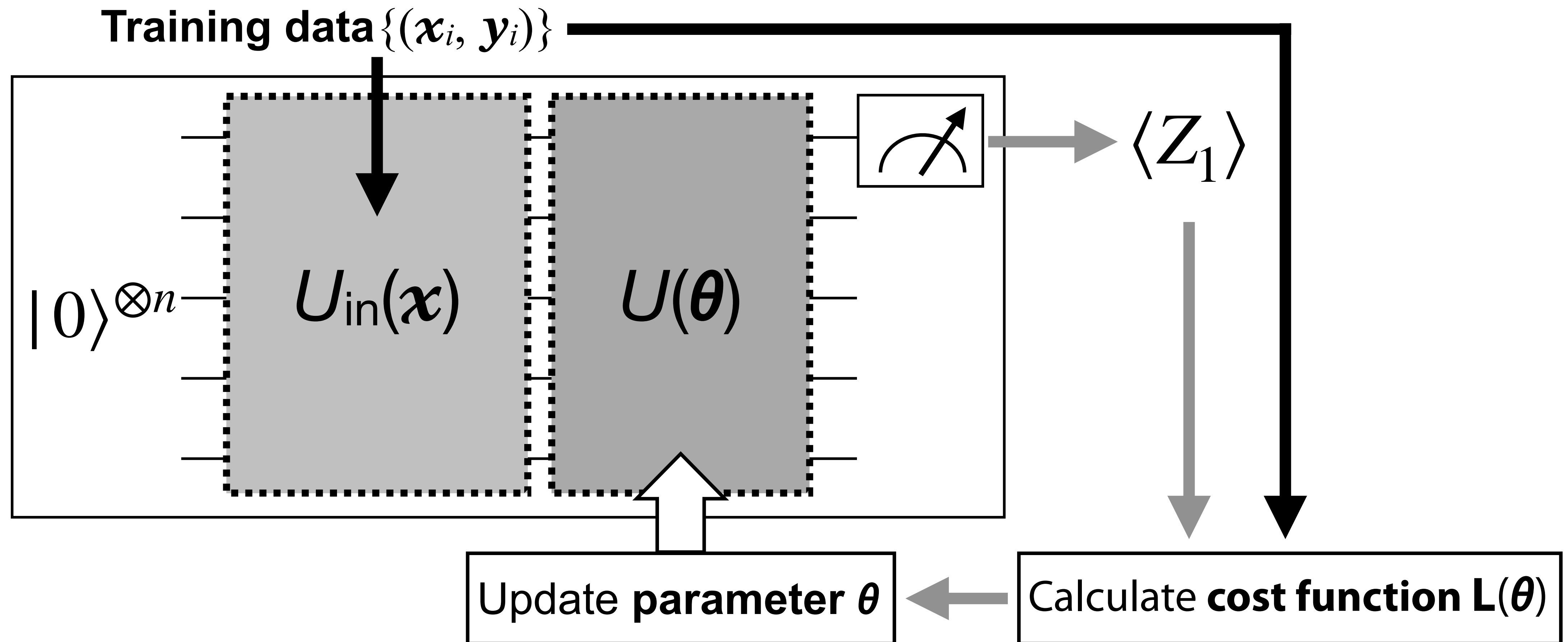
Input data type

Machine Learning Type

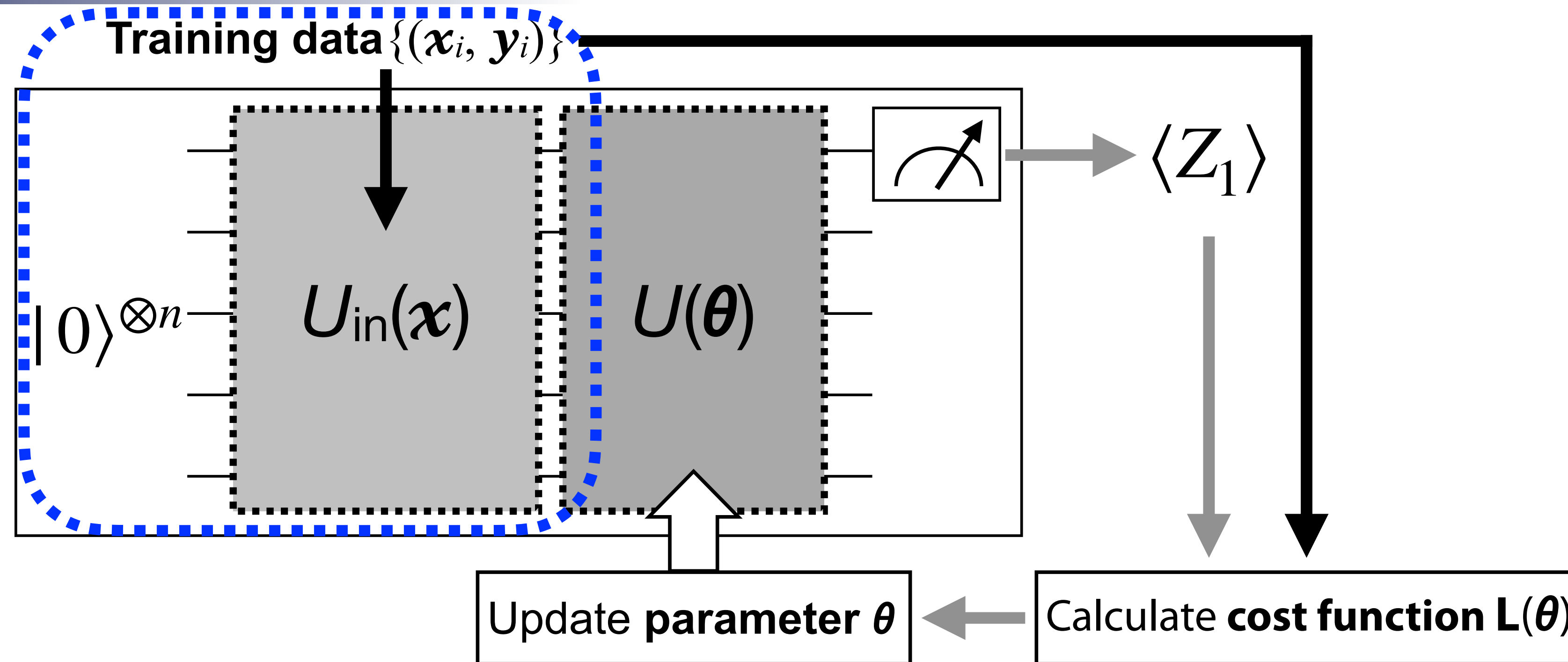
In the hands-on exercise, we will try QML in both CQ and QQ settings

# Quantum Machine Learning

Conventional Quantum Neural Network (QNN) model for supervised machine learning task



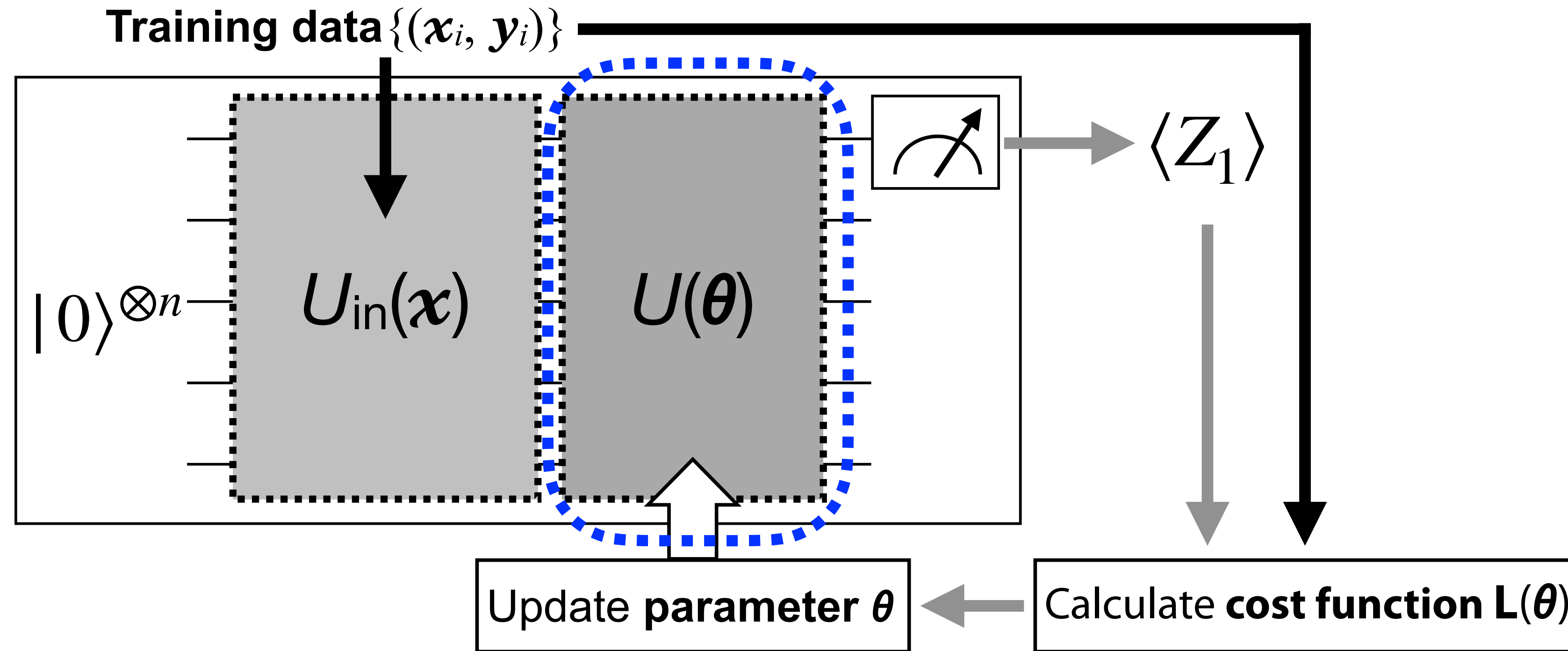
# QML with Quantum Neural Networks



1. Prepare  $|\psi_{in}(x_i)\rangle = U_{in}(x_i) |0\rangle^{\otimes n}$  using unitary  $U_{in}(x)$  with input data  $x_i$  as parameter

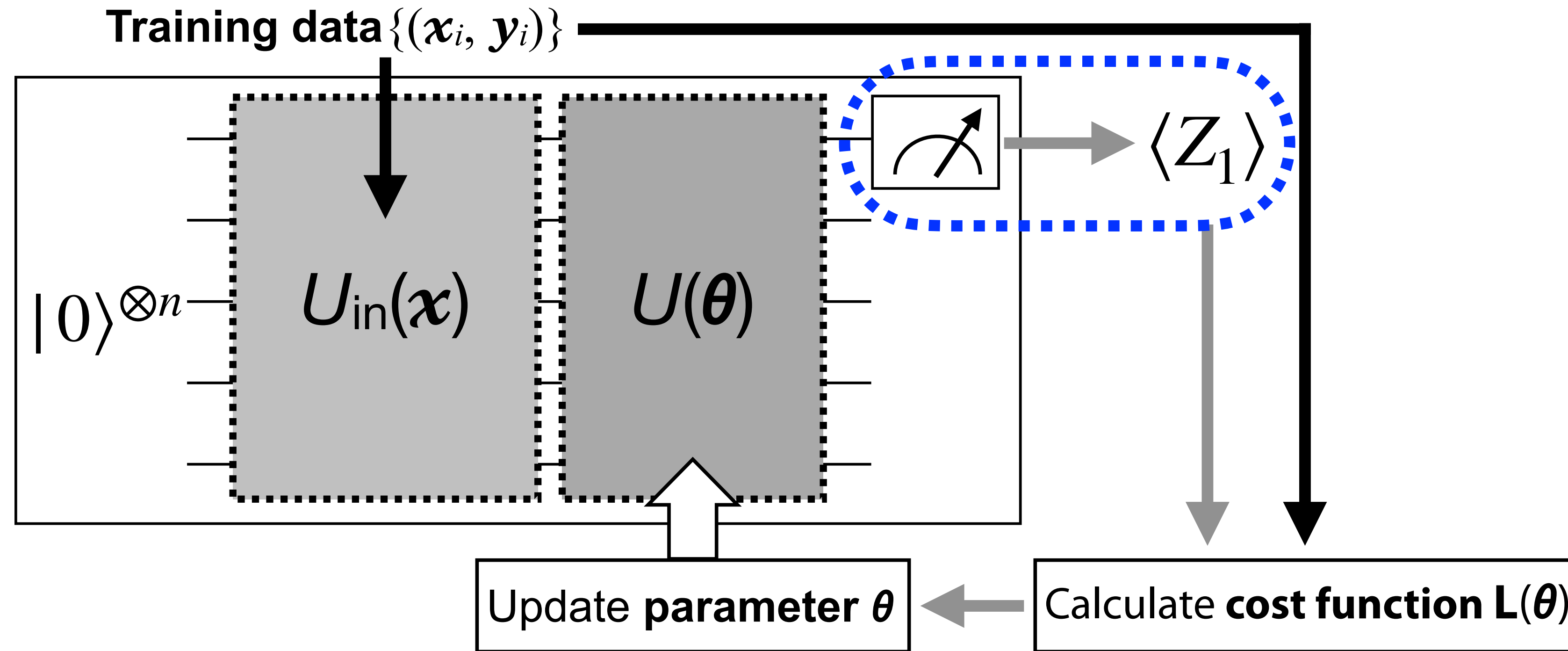
$U_{in}(x)$  called feature map

# QML with Quantum Neural Networks



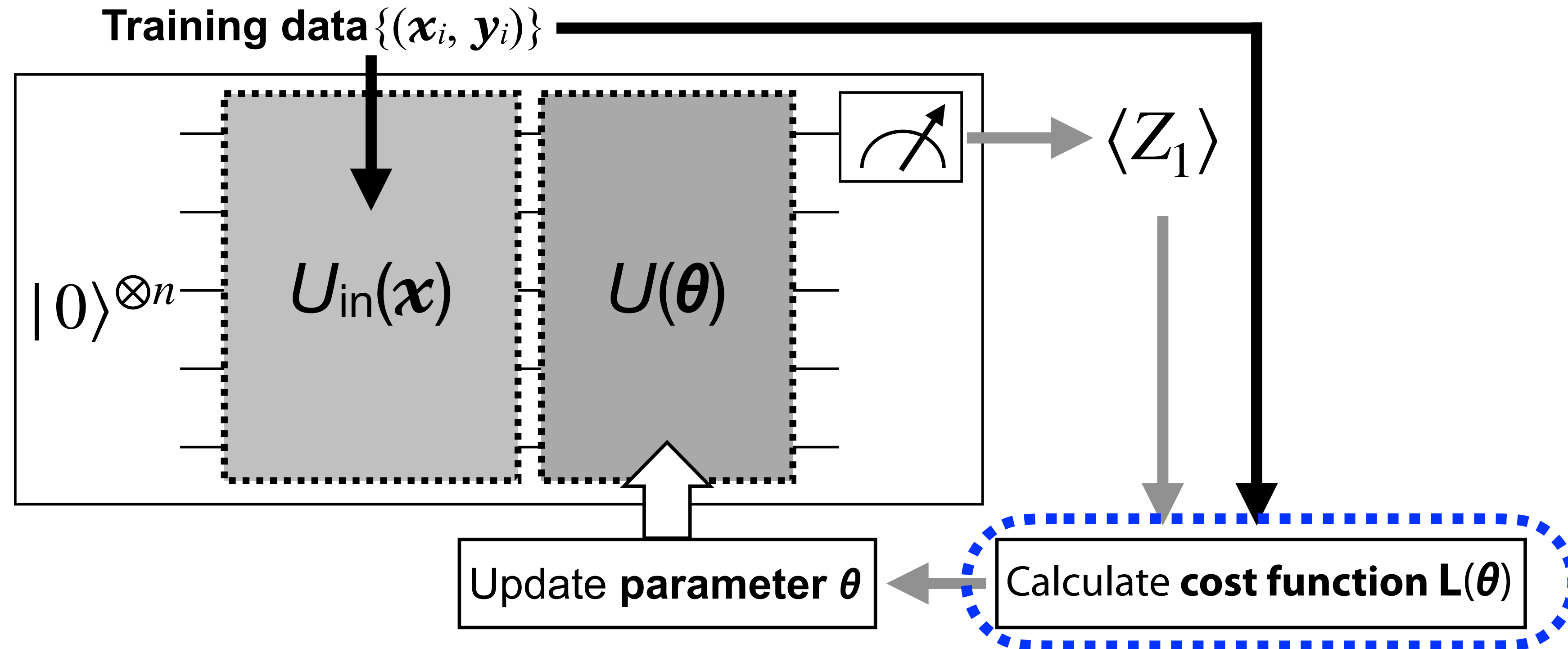
1. Prepare  $|\psi_{\text{in}}(\mathbf{x}_i)\rangle = U_{\text{in}}(\mathbf{x}_i) |0\rangle^{\otimes n}$  using unitary  $U_{\text{in}}(\mathbf{x})$  with input data  $\mathbf{x}_i$  as parameter
2. Generate output state  $|\psi_{\text{out}}(\mathbf{x}_i, \theta)\rangle = U(\theta) |\psi_{\text{in}}(\mathbf{x}_i)\rangle$  by processing  $|\psi_{\text{in}}(\mathbf{x}_i)\rangle$  with  $U(\theta)$

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3. Measure observable  $O$  (e.g, Pauli  $Z$  operator on the first qubit) under the state  $|\psi_{\text{out}}\rangle$

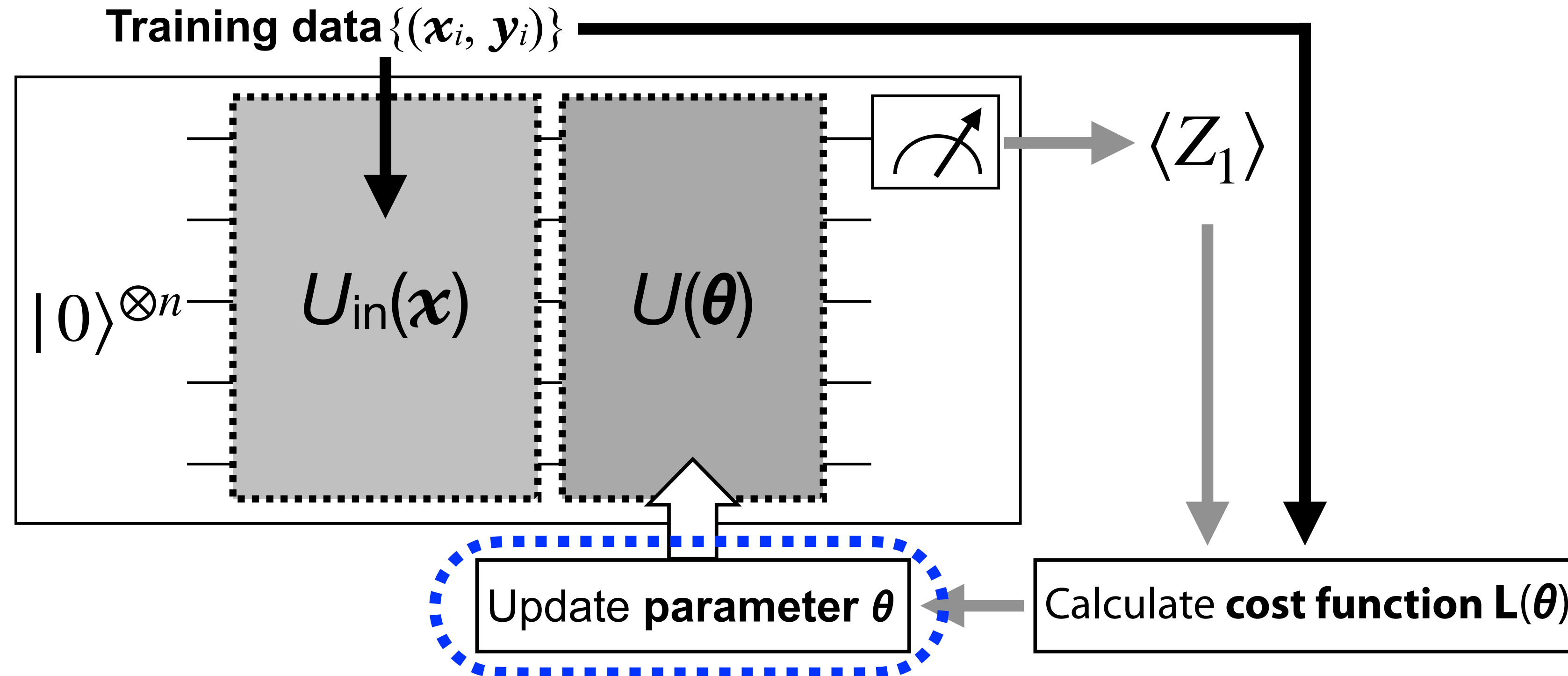
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4. Calculate cost function  $L(\theta)$  from the model output  $F(\langle O \rangle_{\mathbf{x}_i, \theta})$  and input label  $\mathbf{y}_i$

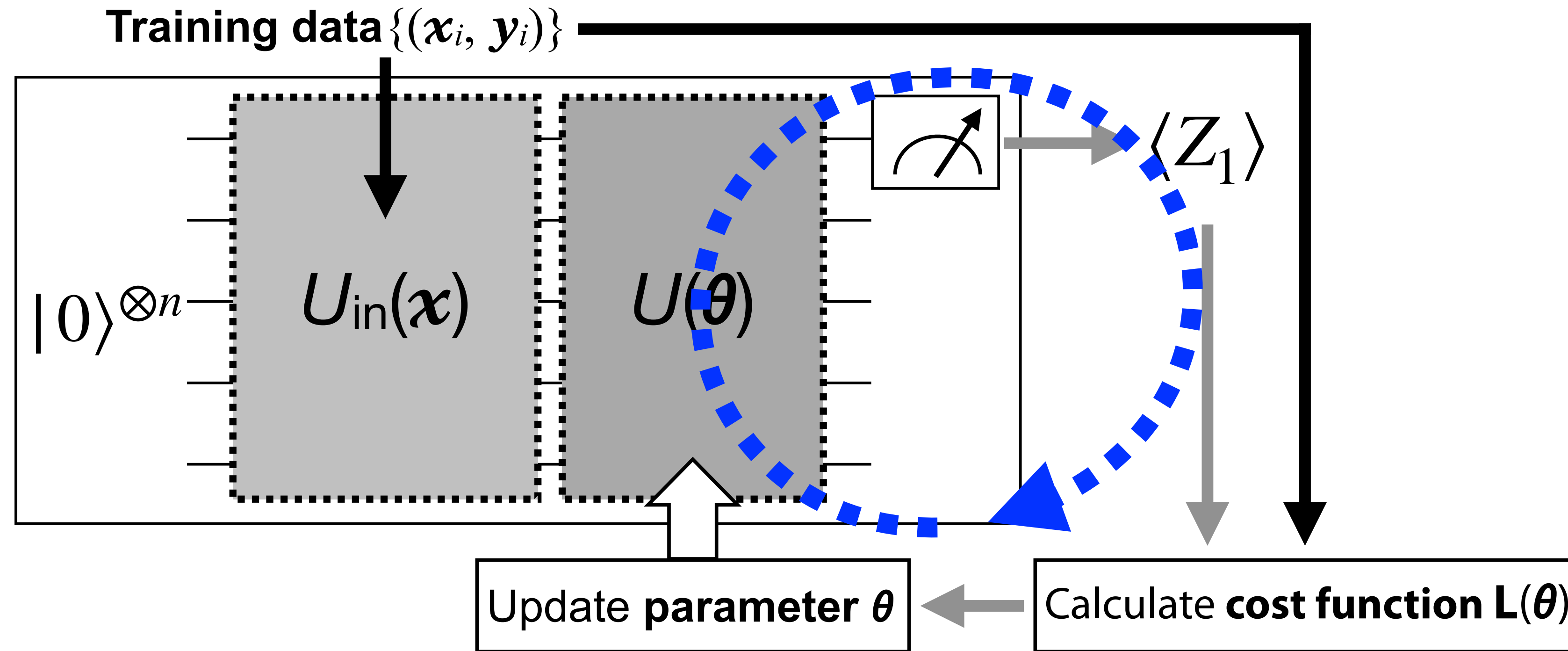


# QML with Quantum Neural Networks



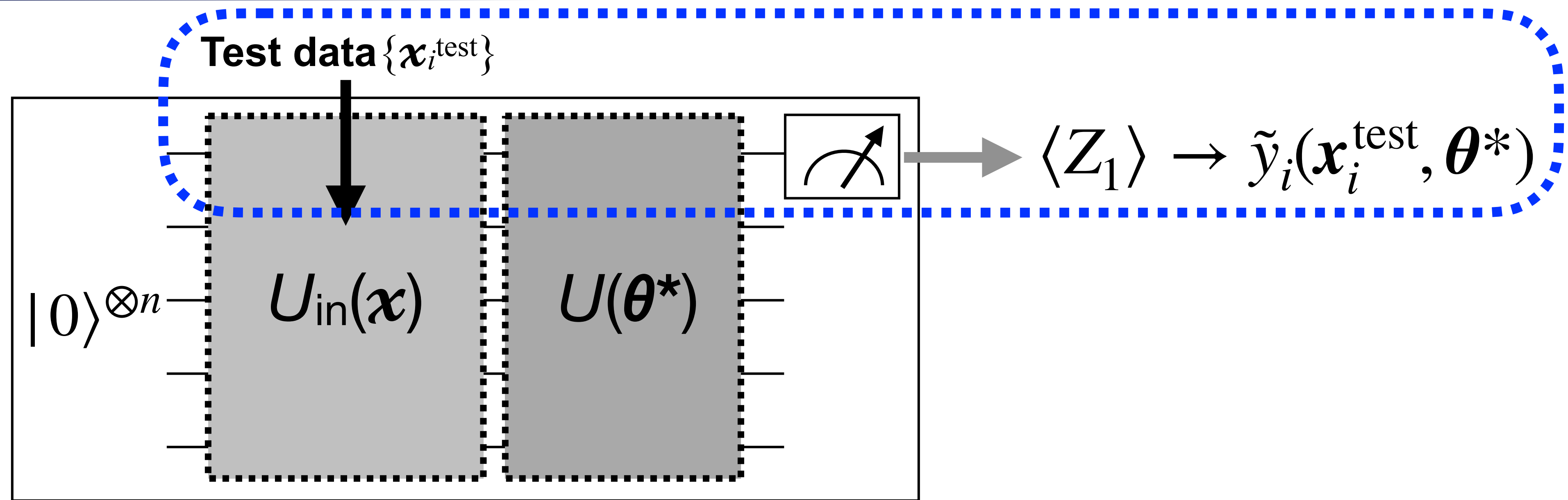
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5. Update parameter  $\boldsymbol{\theta}$  so that  $L(\boldsymbol{\theta})$  becomes smaller

# QML with Quantum Neural Networks



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6. Determine optimized parameter  $\theta^*$  that minimizes  $L(\theta)$  by iterating the 2-5 steps

# QML with Quantum Neural Networks



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5. Update parameter  $\boldsymbol{\theta}$  so that  $L(\boldsymbol{\theta})$  becomes smaller
6. Determine optimized parameter  $\boldsymbol{\theta}^*$  that minimizes  $L(\boldsymbol{\theta})$  by iterating the 2-5 steps
7. Predict the label  $\tilde{y}_i(\mathbf{x}_i^{\text{test}}, \boldsymbol{\theta}^*)$  for unseen test data  $\mathbf{x}_i^{\text{test}}$  using the trained model

# Simple Example of QML

Let us consider an *inverse problem* to find a function  $f$  when only input data  $x_i$  and the output  $y_i = f(x_i)$  are given

- ▶ Feature map:  $U_{\text{in}}(x_i) = \prod_j R_j^Z(\cos^{-1}(x_i^2)) R_j^Y(\sin^{-1}(x_i))$
- ▶ Variational form:  $U(\boldsymbol{\theta}) : U(\{\theta_j^l\}) = \prod_{l=1}^d \left( \left( \prod_{j=1}^n U_{\text{rot}}(\theta_j^l) \right) \cdot U_{\text{ent}} \right) \cdot \prod_{j=1}^n U_{\text{rot}}(\theta_j^0)$

Apply  $U_{\text{rot}}$  first, then use  $d$  times a pair of  $U_{\text{ent}}$  and  $U_{\text{rot}}$

$U_{\text{rot}}(\theta_j^l) = R_j^Y(\theta_{j3}^l) R_j^Z(\theta_{j2}^l) R_j^Y(\theta_{j1}^l)$  : Single-qubit rotation gates with  $\theta$ 's as parameters

$U_{\text{ent}} = \prod_{j=1}^n C_{j\%n+1}^j [Z]$  : Controlled-Z gate as an entangling gate

# Hands-on Exercise (II)

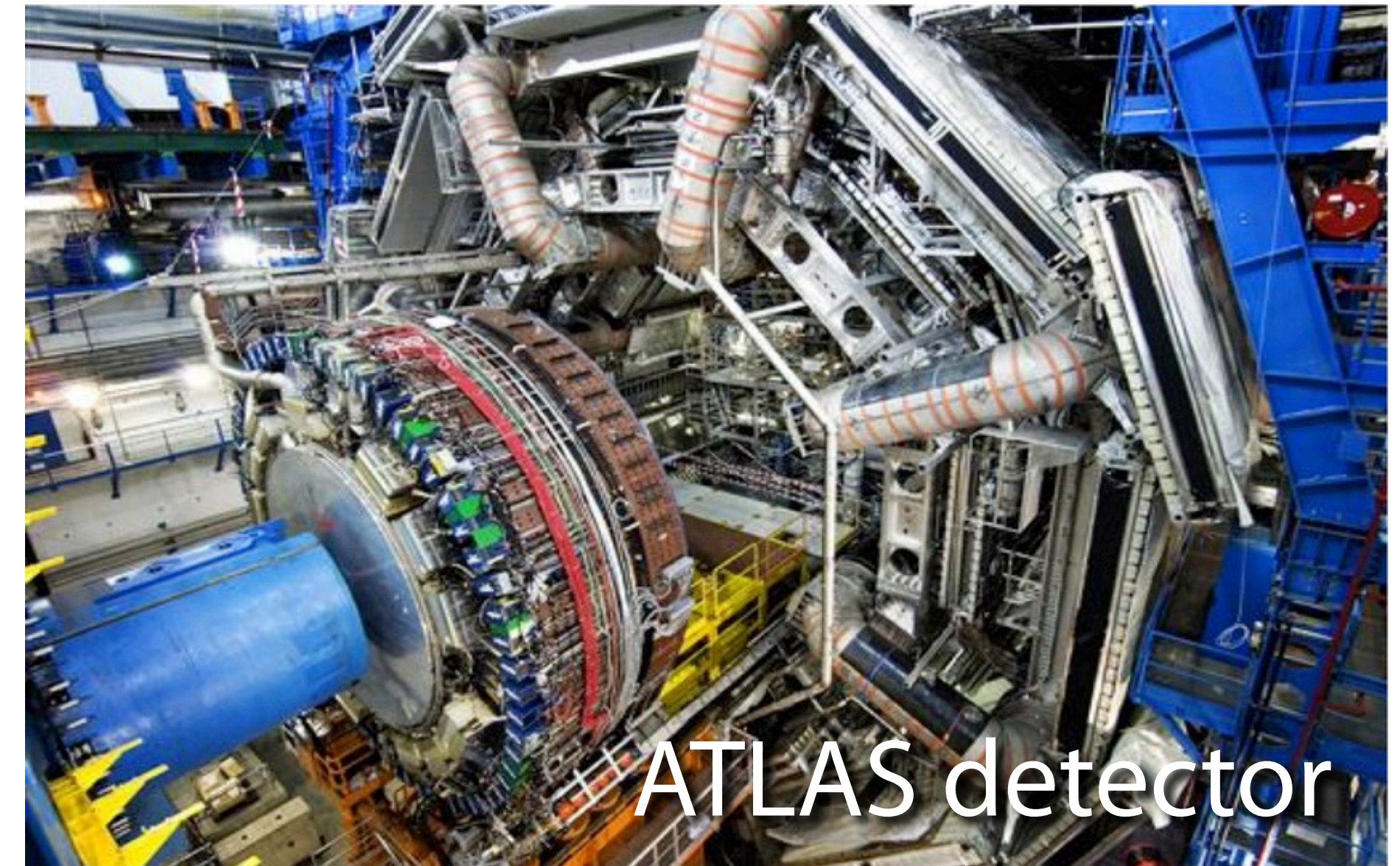
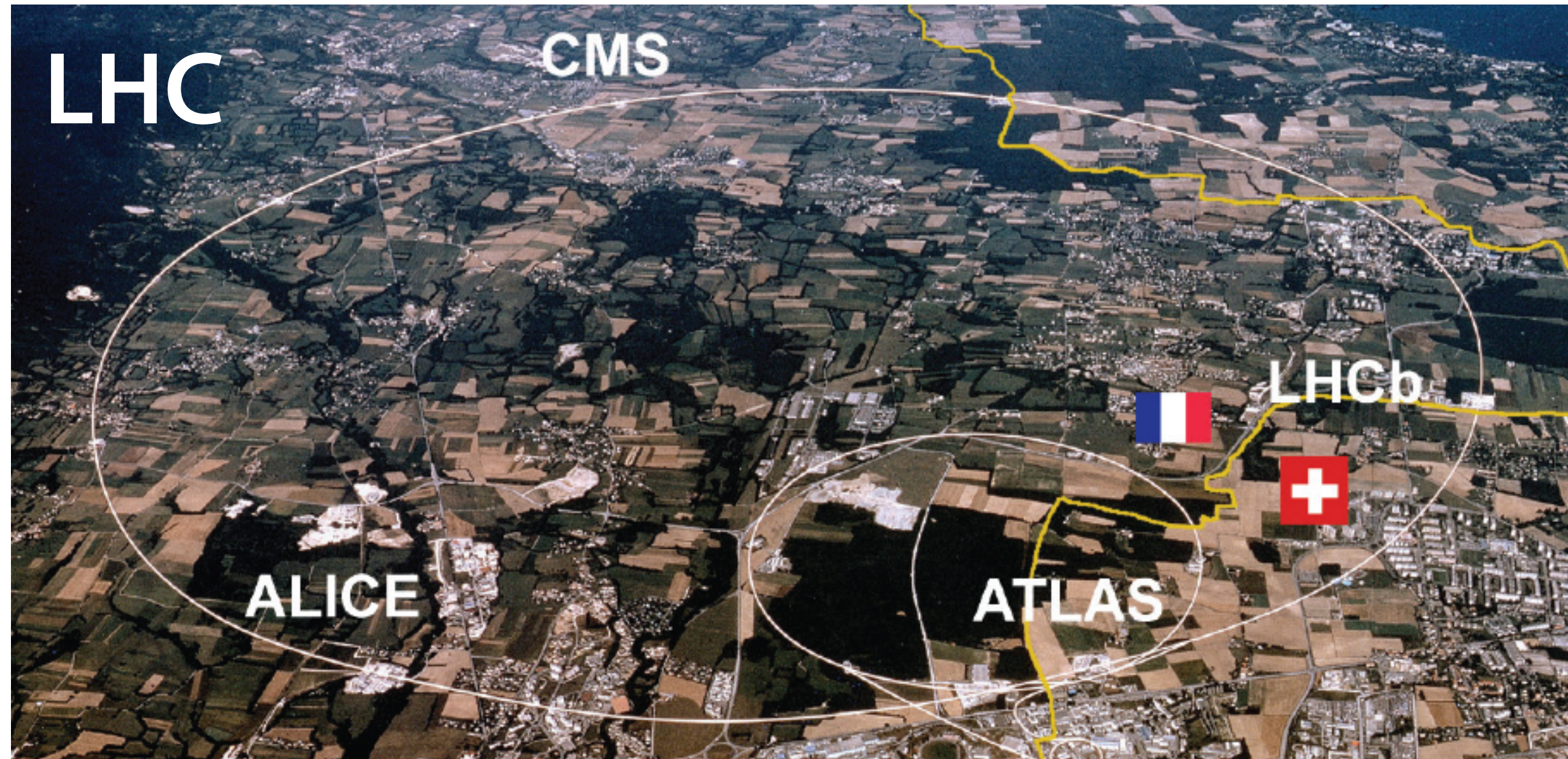
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- ▶ Quantum Machine Learning :
  - Learn a function from input and output data from the function



# Application to High-Energy Physics

Learn more complex data from high-energy physics (HEP) experiment (though actually “truth-level” simulation data)

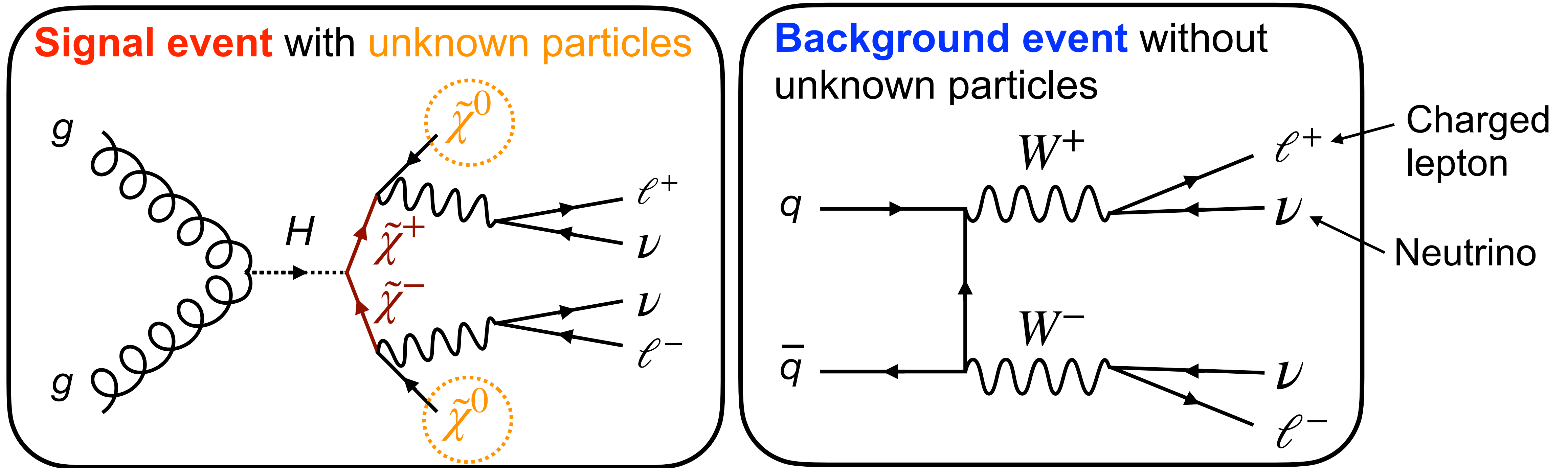


Machine learning technique is ubiquitous in HEP experiment  
*Detector reconstruction, simulation, data analysis, trigger, ...*



# QML Application to Event Classification

Classify events that contain new physics signal from background events



Neutralino  $\Rightarrow$  Not observed by the detector, like SM neutrino

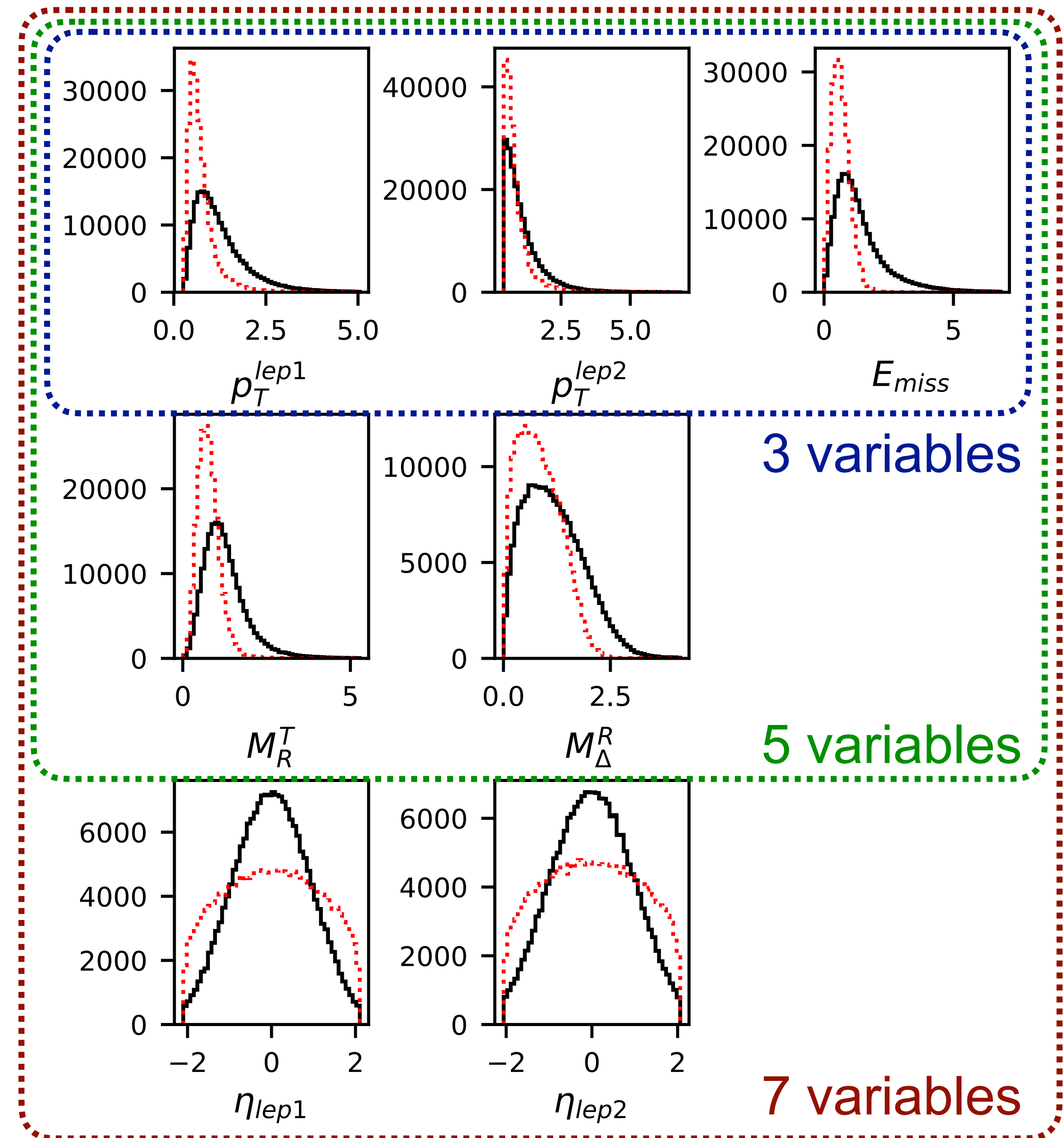
Use differences in kinematical properties due to the presence of  $H$  and  $\tilde{\chi}^\pm/\tilde{\chi}^0$  to classify signal from background

# Training Data

Use [SUSY dataset](#) in machine learning repository by University of California, Irvine

**Signal** (red histogram) and **background** (black histogram) differ in kinematical features of final state particles

Use these features as input data and classify signal and background events





# Quantum Neural Network Model

- ▶ Feature map:  $U_{\text{in}}(\mathbf{x}_i) = U_{\phi}(\mathbf{x}_i)H^{\otimes n}$

$$U_{\phi}(\mathbf{x}_i) = \exp \left( i \sum_{k=1}^n \phi_{\{k,k+1\}}(\mathbf{x}_i) Z_k Z_{k\%n+1} + i \sum_{k=1}^n \phi_{\{k\}}(\mathbf{x}_i) Z_k \right)$$

$$\phi_{\{k\}}(\mathbf{x}_i) = x_i^{\{k\}}, \quad \phi_{\{k,k+1\}}(\mathbf{x}_i) = (\pi - x_i^{\{k\}})(\pi - x_i^{\{k\%n+1\}})$$

➡ called ZZ feature map

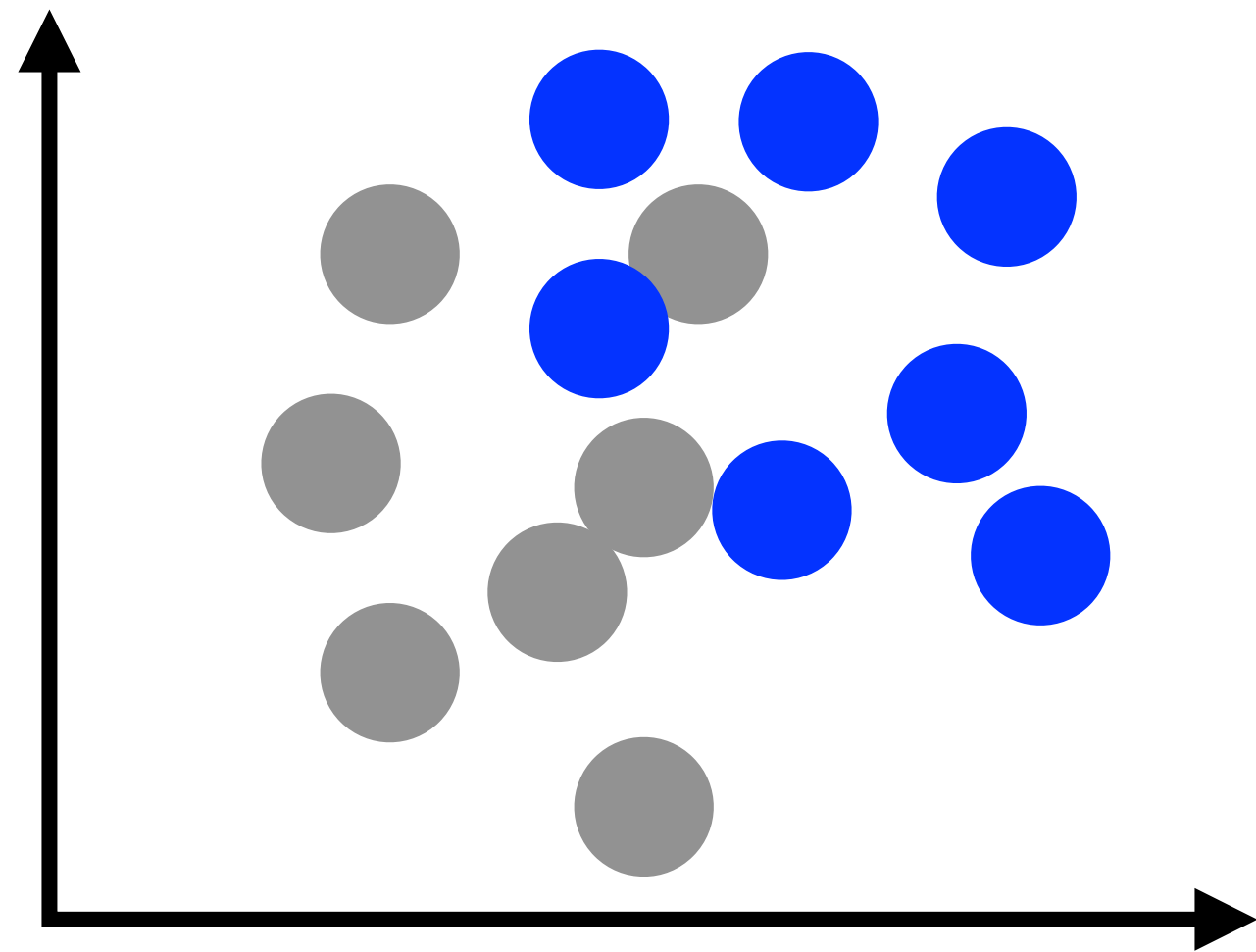
- ▶ Variational form:

$$U(\{\theta_j^l\}) = \prod_{l=1}^d \left( \left( \prod_{j=1}^n U_{\text{rot}}(\theta_j^l) \right) \cdot U_{\text{ent}} \right) \cdot \prod_{j=1}^n U_{\text{rot}}(\theta_j^0)$$

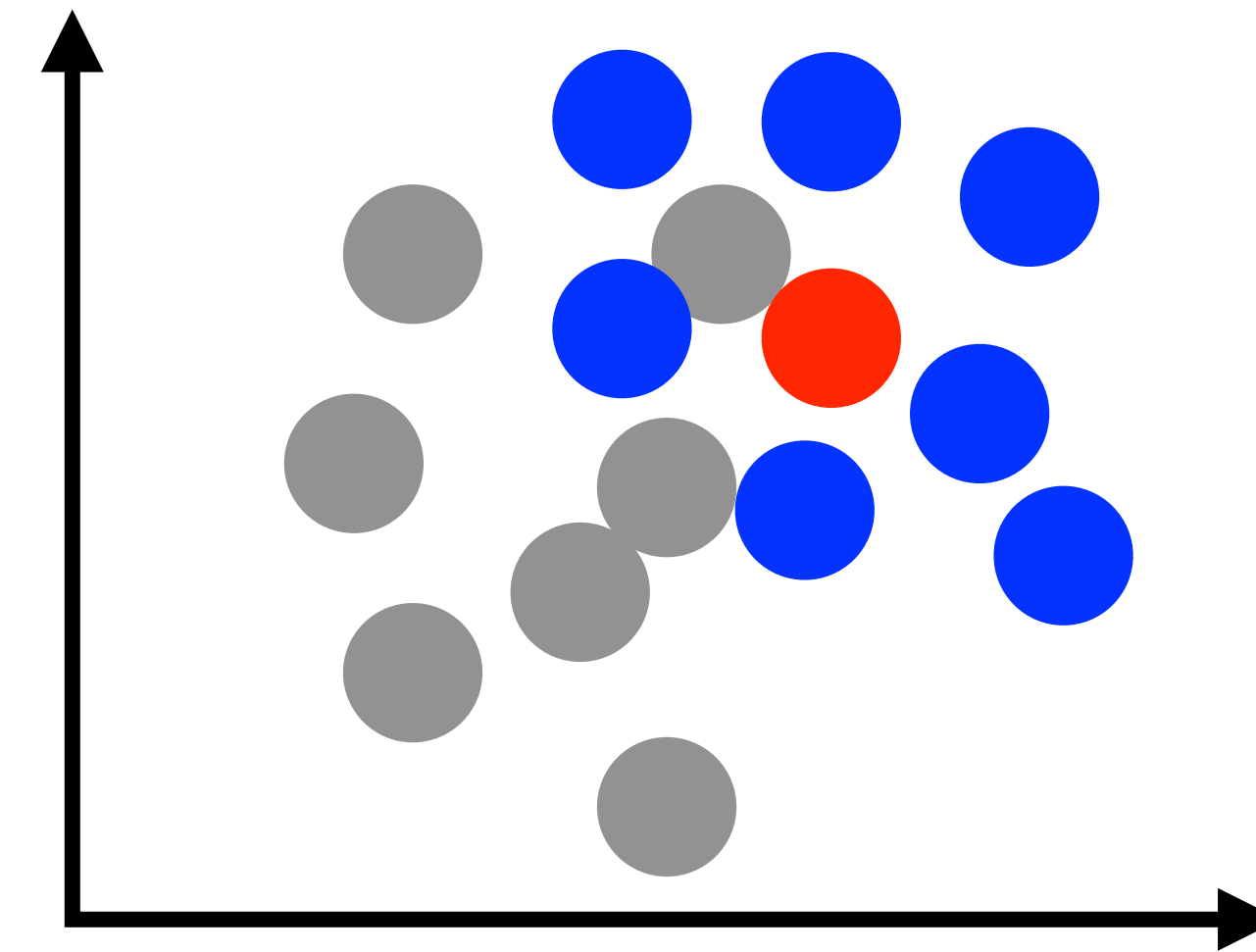
$$U_{\text{rot}}(\theta_j^l) = R_j^Z(\theta_{j2}^l) R_j^Y(\theta_{j1}^l) \quad U_{\text{ent}} = \prod_{j=1}^n C_{j\%n+1}^j[Z]$$

# Kernel Method for Machine Learning

Assuming there are two classes of data: ● and ●



There is a new data ●  
➔ Which class of ● or ● does the new data ● belong to?



Likely ●

➔ *Distance or Similarity* between data can be used to judge

# Kernel Method for Machine Learning

Function to quantify the distance measure  $\kappa : D \times D \rightarrow \mathbb{R}$

Consider a matrix with elements  $K_{m,m'} = \kappa(\mathbf{x}^m, \mathbf{x}^{m'})$  constructed from two different training points  $\mathbf{x}^m, \mathbf{x}^{m'}$  in the training sample  $D$

## Kernel Matrix

The closer the data points are, the larger the matrix elements

Representative kernel function is

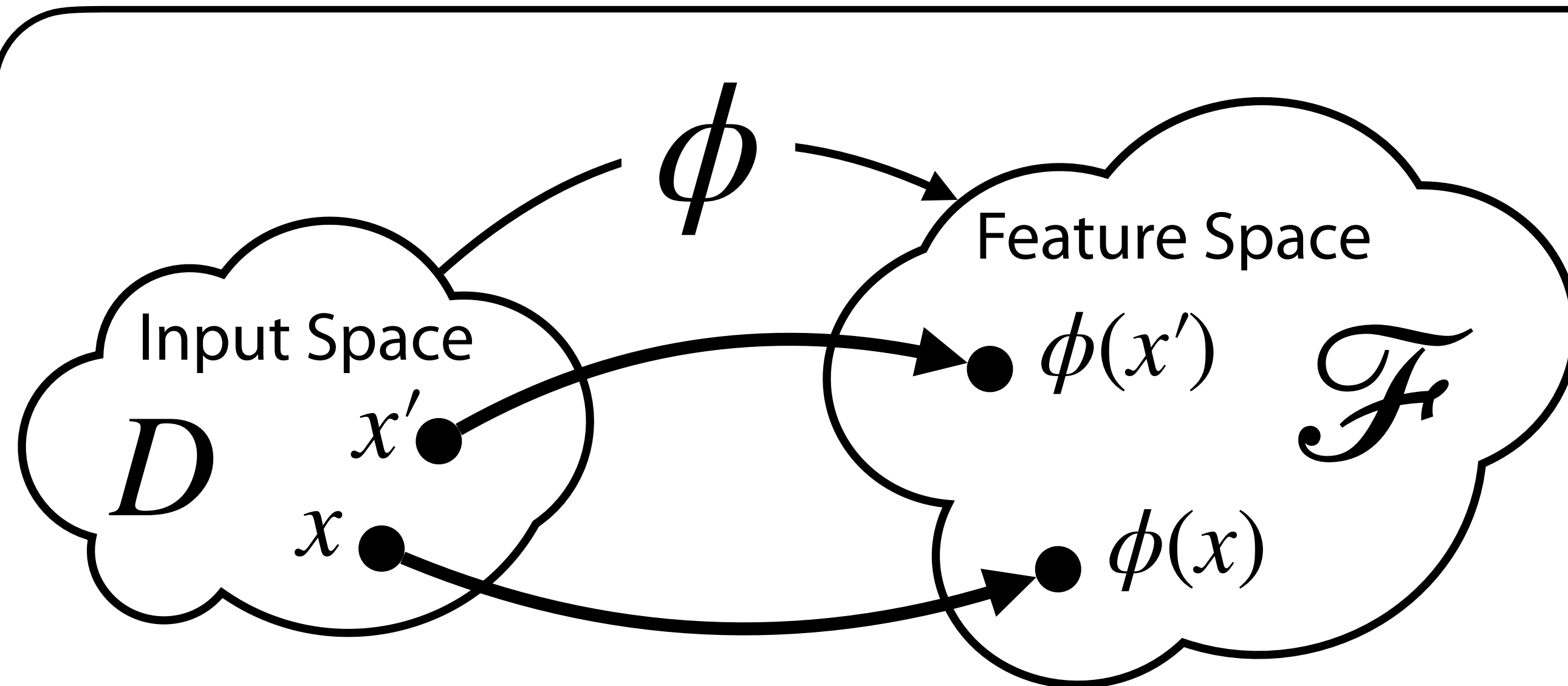
- ▶ Linear kernel :  $\mathbf{x}^T \mathbf{x}'$
- ▶ Gaussian kernel :  $e^{-\gamma \|\mathbf{x} - \mathbf{x}'\|^2}$

# Kernel Method for Machine Learning

Input data encoded in high-dimensional Hilbert space using feature map in QML

➡ Inner products of encoded states can be used as a kernel function

$$\kappa(\mathbf{x}^m, \mathbf{x}^{m'}) = \langle \phi(\mathbf{x}) | \phi(\mathbf{x}') \rangle \text{ for a feature map } \phi : D \rightarrow \mathcal{F}$$



For positive definite kernel, there is a feature space  $\mathcal{F}$  created by  $\phi$  such that the kernel  $\kappa$  in  $D$  is equal to inner product in  $\mathcal{F}$

$$\kappa(x, x') = \langle \phi(x) | \phi(x') \rangle$$

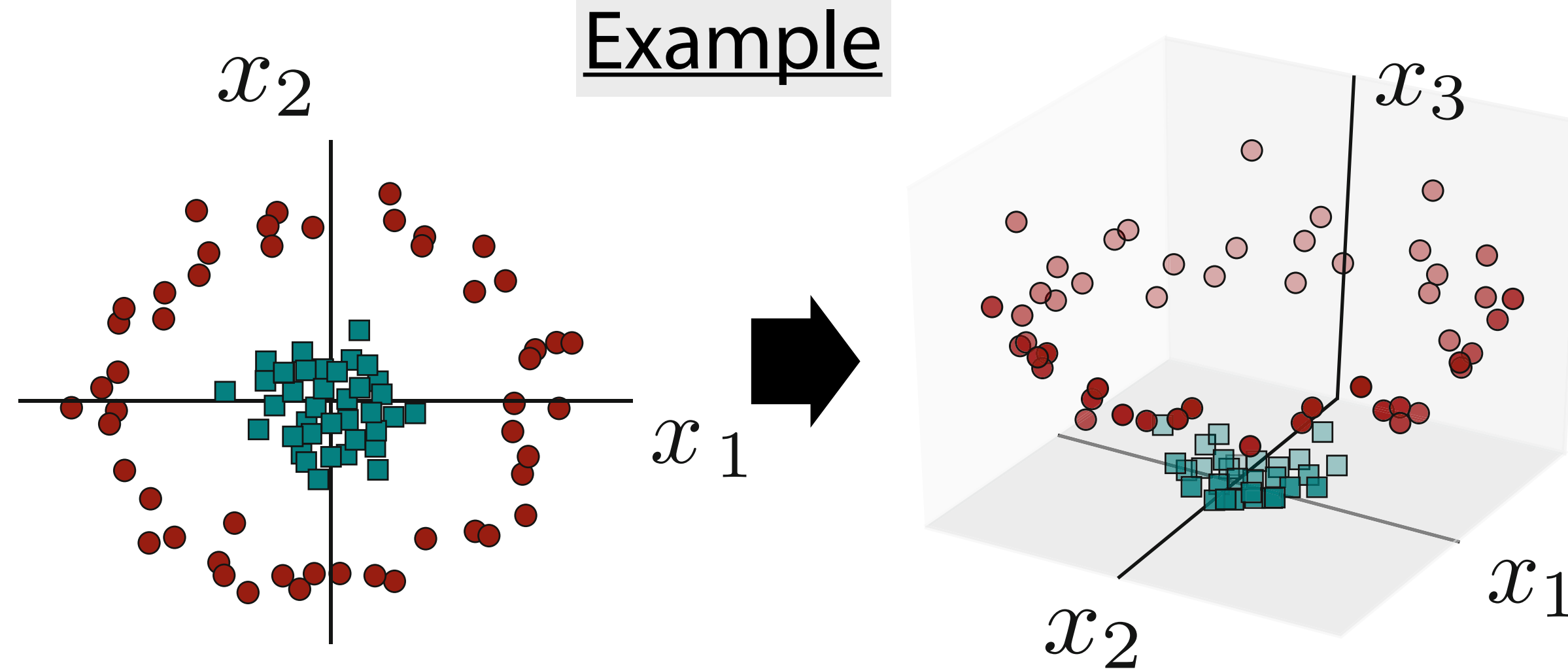
M. Schuld, F. Petruccione,  
*Supervised Learning with Quantum Computers*,  
Springer Nature

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$$\phi(x_1, x_2) = \left( x_1, x_2, \frac{(x_1^2 + x_2^2)}{2} \right)$$

Data classification can often improve when the input data are encoded into higher-dimensional feature space

M. Schuld, F. Petruccione,  
*Supervised Learning with Quantum Computers*,  
Springer Nature

How can we find a good feature map?

# Kernel Method for Machine Learning

Input data encoded in high-dimensional Hilbert space using feature map in QML

➡ Inner products of encoded states can be used as a kernel function

$$\kappa(\mathbf{x}^m, \mathbf{x}^{m'}) = \langle \phi(\mathbf{x}) | \phi(\mathbf{x}') \rangle \text{ for a feature map } \phi : D \rightarrow \mathcal{F}$$

## Quantum Kernel

How can we find a good feature map?

This is of course a problem and data dependent

Modifying kernel function would result in *non-trivial* changes in feature space

➡ called **Kernel Trick**

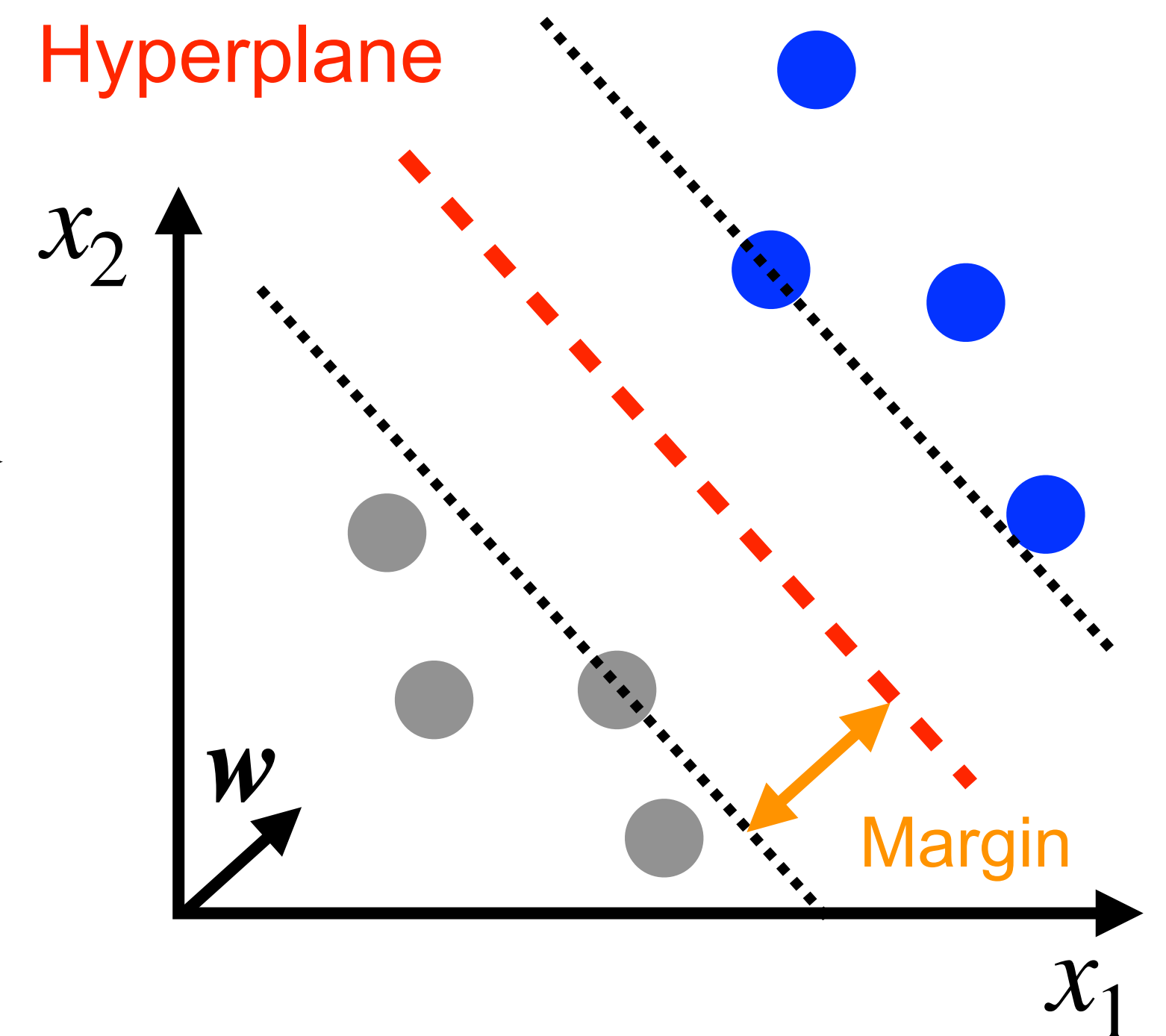
# ML Classification in Feature Space

Consider a 2-class classification problem:

$$\{(\mathbf{x}_i, y_i)\} (i = 1, \dots, N) \quad \mathbf{x}_i \in \mathbb{R}^d, y_i \in \{+1, -1\}$$

Define *hyperplane* as the points  $\{\mathbf{x} \mid \mathbf{x} \in \mathbb{R}^d, \mathbf{w} \cdot \mathbf{x} + b = 0\}$

➡ Separate the feature space linearly into two data regions with different labels by a hyperplane





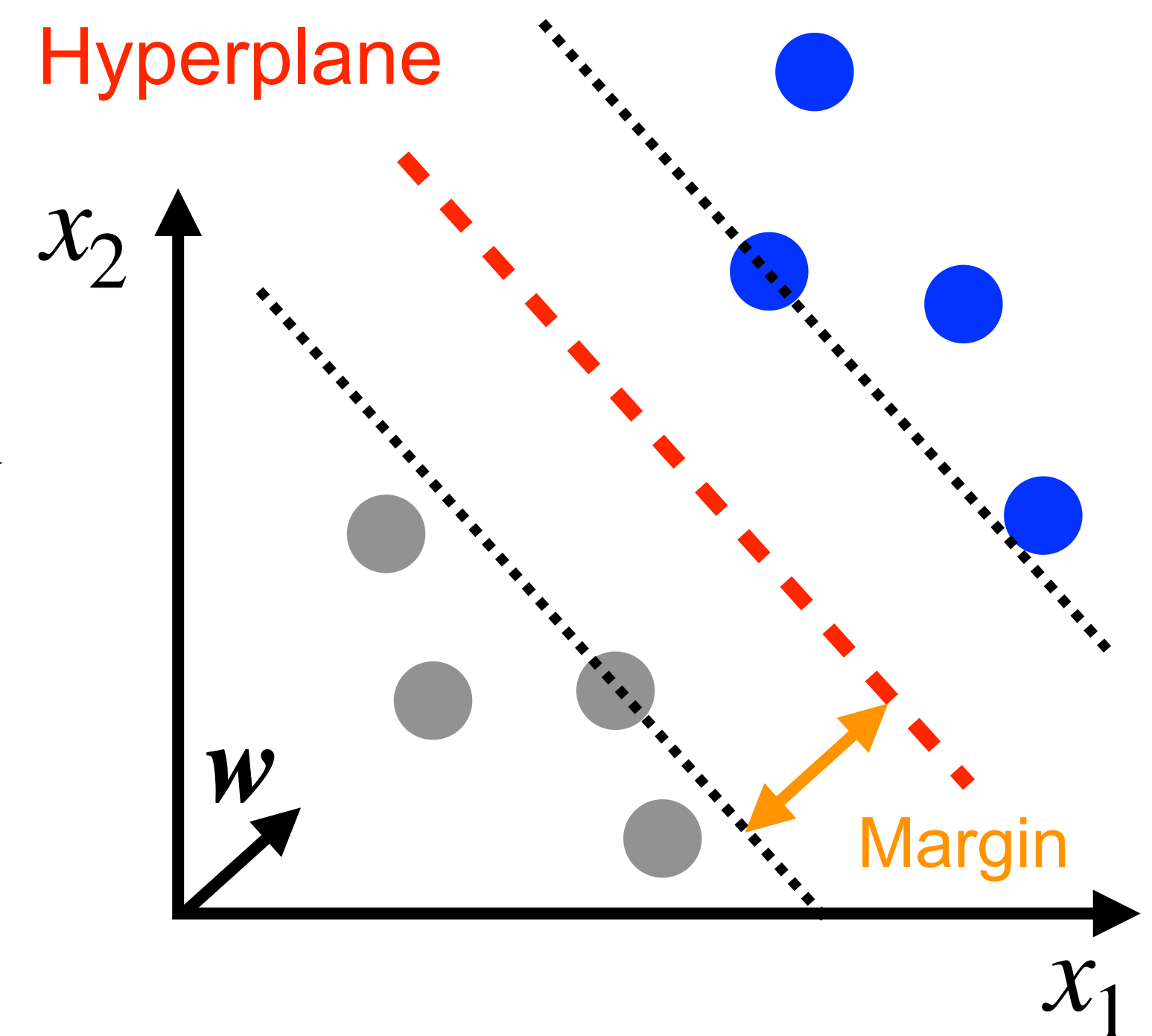
# ML Classification in Feature Space

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➡ Separate the feature space linearly into two data regions with different labels by a hyperplane



Machine Learning task:

- ▶ Training : Find a hyperplane  $(\mathbf{w}, b)$  that satisfies  $y_i(\mathbf{w} \cdot \mathbf{x}_i + b) \geq 1 \quad \forall i$
- ▶ Inference : Sign of  $\mathbf{w} \cdot \mathbf{x}'_i + b$  gives a label prediction for unseen new data  $\mathbf{x}'_i$

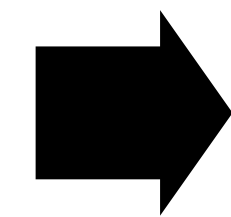
## Support Vector Machine

Find a hyperplane that maximizes the margin ( $\propto 1 / \|\mathbf{w}\|$ ) between hyperplane and the nearest data point



# Quantum SVM

Linear separation of data points in Hilbert space  $\Rightarrow$  Finding the minimum of  $\| \mathbf{w} \|^2$



Further translated to a problem of finding parameters  $\{\alpha_i\}$  that minimize

$$L(\boldsymbol{\alpha}) = \sum_{i=1}^N \alpha_i - \frac{1}{2} \sum_{i,j=1}^N y_i y_j \alpha_i \alpha_j K(\mathbf{x}_i, \mathbf{x}_j)$$

under the conditions  $\sum_{i=1}^N \alpha_i y_i = 0, \alpha_i \geq 0$  for parameters  $\{\alpha_i\} (i = 1, \dots, N)$

More details in [QML workbook](#)

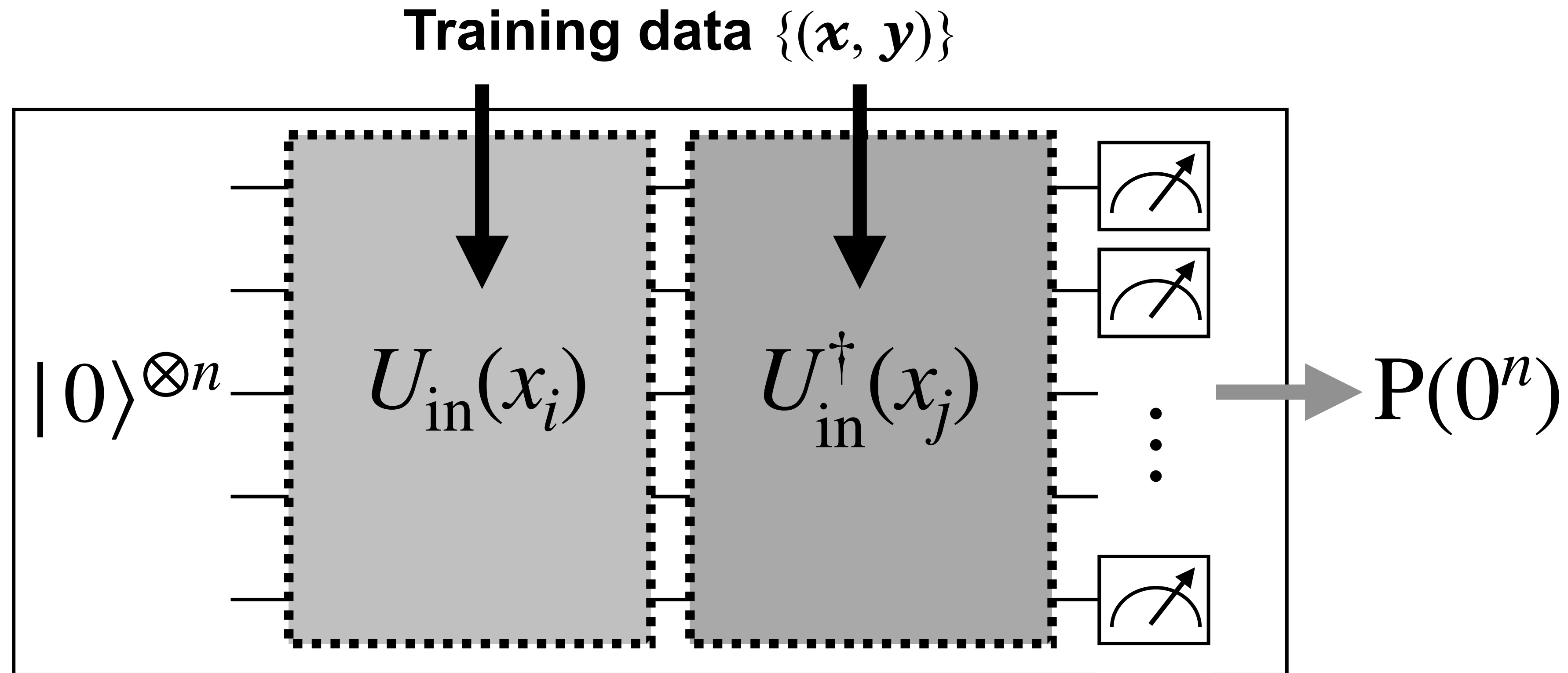
Calculate *Quantum Kernel*  $K(\mathbf{x}_i, \mathbf{x}_j)$  using quantum computer

Sign of  $\sum_{i=1}^N y_i \alpha_i^* K(\mathbf{x}_i, \mathbf{x}') + b^*$  with optimized parameters  $\{\alpha_i^*, b^*\}$

$\Rightarrow$  Label prediction for test data  $\mathbf{x}'$

# Quantum Circuit for QSVM

Quantum kernel can be obtained as inner product for a given feature map  $U_{\text{in}}(x)$



Quantum Kernel  $K(x_i, x_j) := |\langle \phi(x_j) | \phi(x_i) \rangle|^2 = |\langle 0^{\otimes n} | U_{\text{in}}^\dagger(x_j) | U_{\text{in}}(x_i) | 0^{\otimes n} \rangle|^2$

➡ Probability of measuring 0 in all  $n$ -qubits with the initial state  $|0\rangle^{\otimes n}$

# Hands-on Exercise (III)

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- ▶ Quantum Machine Learning :
  - Event classification using quantum neural network model
  - Event classification using quantum kernel method